

Discovery of Neutrino Oscillations

the experimental program

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Outline:

- Neutrino sources
- The discovery of the neutrino / Search for solar neutrinos:
First hint of massive of neutrinos: “the solar neutrino problem”
- Water-Cherenkov technique; very large amount of mass instrumented
- Super-Kamiokande
 - Discovery of oscillation in atmospheric neutrinos (mainly muon)
 - Precise measurement of solar neutrino deficit
- SNO (Sudbury Neutrino Observatory)
 - Measurement of the whole solar neutrino flux.
 - Discovery of oscillation in solar neutrinos (mainly electron)
- Final remarks

Neutrinos Sources for Experiments:

Sun *many*
Atmosphere *many*

*most of this talk
about them*

Nuclear Power Plants *many*
Particle Accelerators *many*

Center of the Earth *a few*

Supernova *a few* , DSBN
Cosmos *a few*

Relic Neutrino Cosmic Background

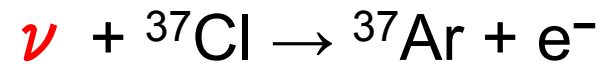
Experimental discovery of the ν

Not easy, most relevant ideas by:

Pontecorvo (1948)

use reaction

Alvarez (1949)



Discovery by Cowan, Raines 1955:

go close to nuclear power reactor

Savannah River in South Carolina

study reaction $\nu + p \rightarrow n + e^{-}$

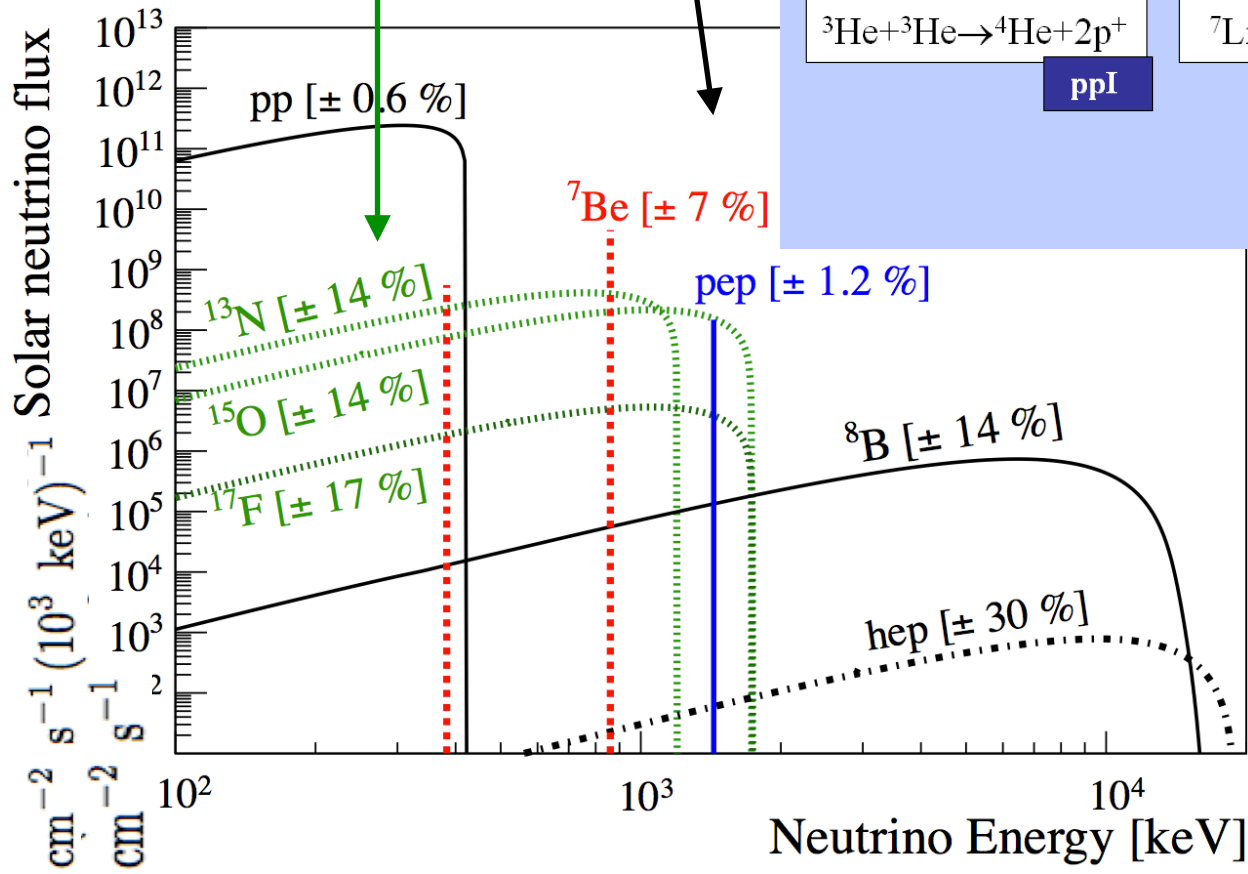
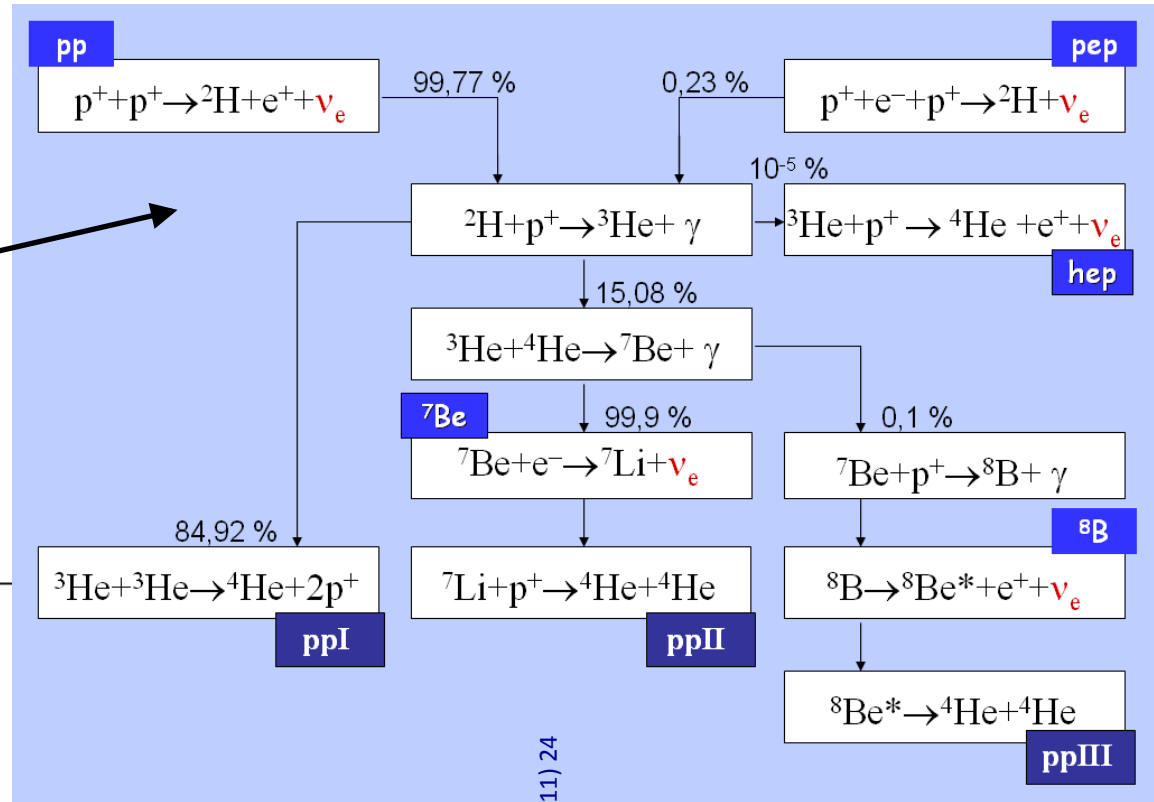
delayed coincidence

solar neutrinos

Standard Solar Model

J. N. Bahcall et al.

- solar **pp** chain: reactions, spectra
- solar **CNO** cycle spectra

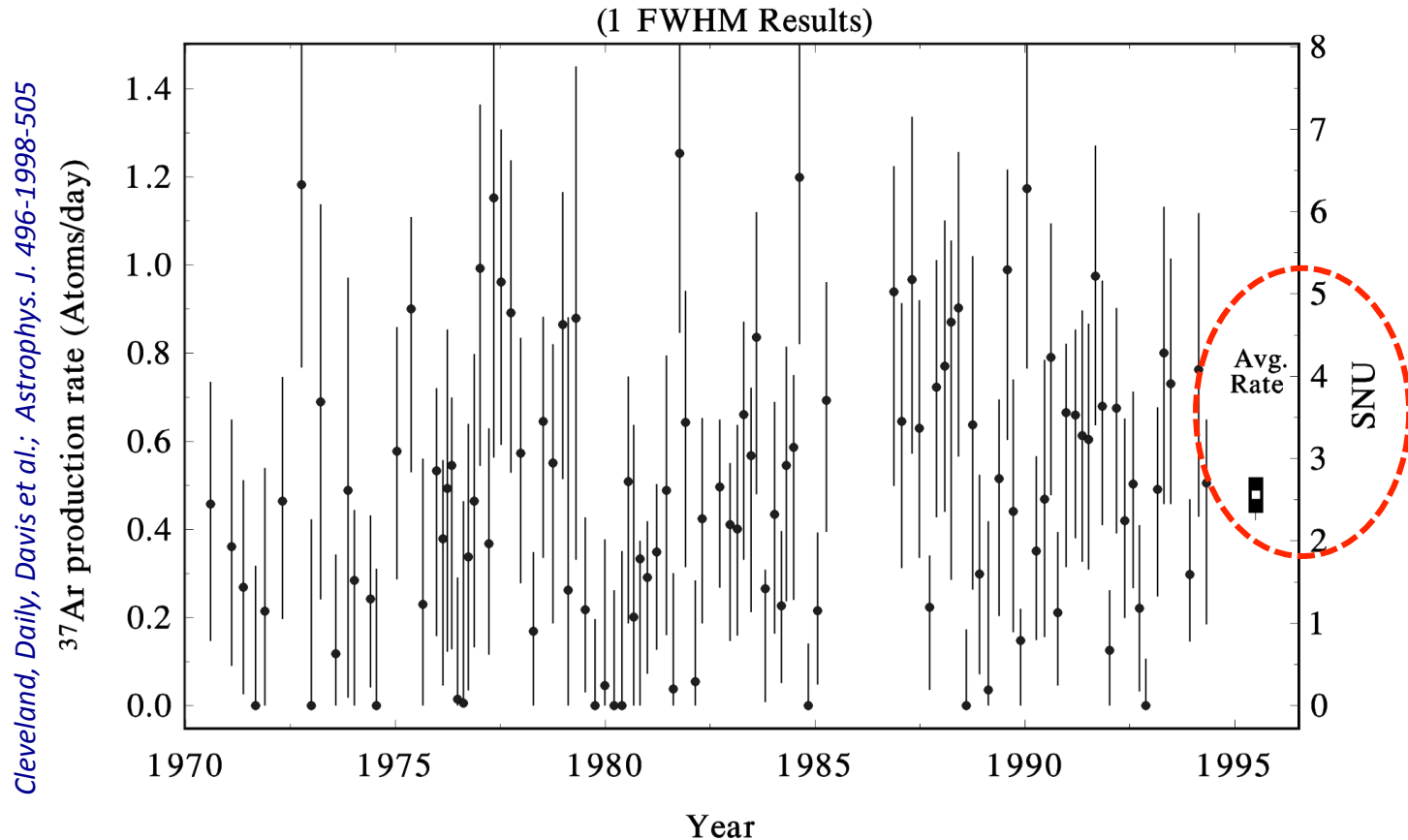


Bahcall, Serenelli, Basu; *Astrophys. J.* 621 (2005) 85
 Serenelli, Haxton, Peña-Garay; *Astrophys. J.* 743 (2011) 24

Discovery of solar neutrinos; First hints of oscillating neutrinos [of missing e^- neutrinos]

R. Davis, Jr. Homestake Chlorine Detector

J. N. Bahcall $\nu + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^-$ 1968 $\rightarrow \dots$



Final R. Davis J. et al.: 2.6 ± 0.2 (stat.) ± 0.2 (syst.) SNU

1 Solar Neutrino Unit = 1 interaction per 10^{36} target atoms s-1

Nobel 2002

Standard Solar Model: 9.3 ± 1.3 SNU

Bahcall, Pinsonneault, M. H. 1995, Rev. Mod. Phys., 67, 781

Nueva generación experimentos: ⁽²⁾H₂O-Cherenkov

origen: búsqueda de la **desintegración del protón**

- en el Modelo Estándar, el protón es absolutamente estable
- sin embargo, dados
 - la estructura físico-matemática del MS,
 - las aproximaciones teóricas realistas para su evolución,
 - el conocimiento actual sobre la creación y desarrollo del Universo...

⇒ existe el “convencimiento” (intuición) de la no estabilidad del protón
es uno de los conceptos científicos más importante de la Humanidad

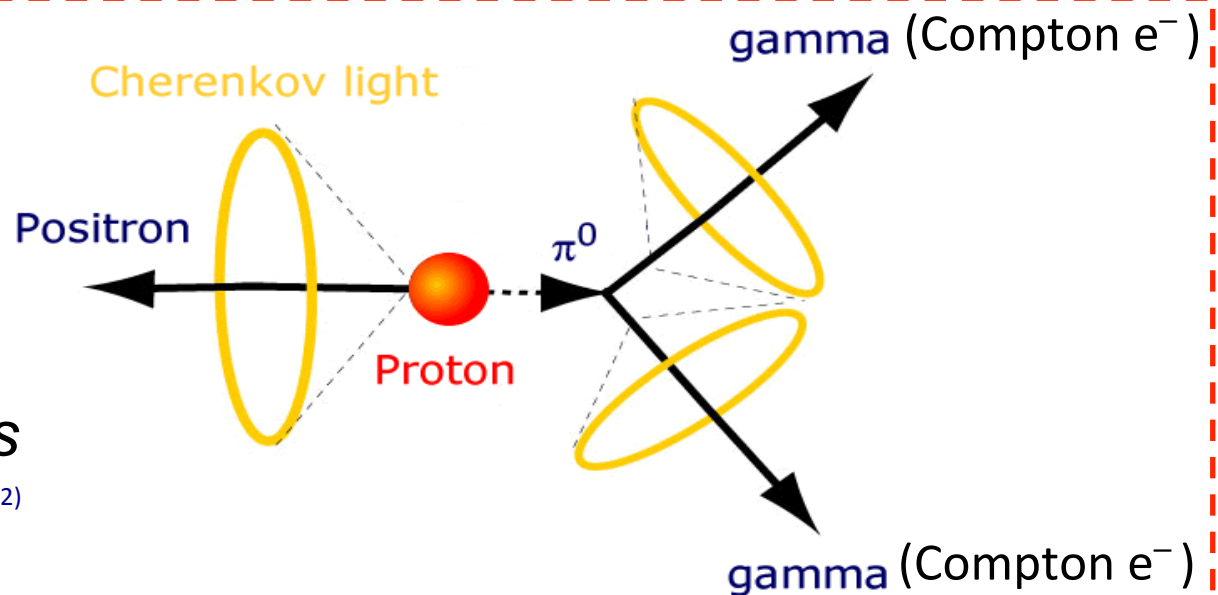
técnica **Agua-Cherenkov** permite instrumentar enormes cantidades de materia a observar

p.e.: $p \rightarrow e^+ \pi^0$

no candidato
hasta ahora

⇒ $\tau_p > 8.2 \times 10^{33}$ años

Super-Kamiokande, Phys. Rev. D 85, 112001 (2012)

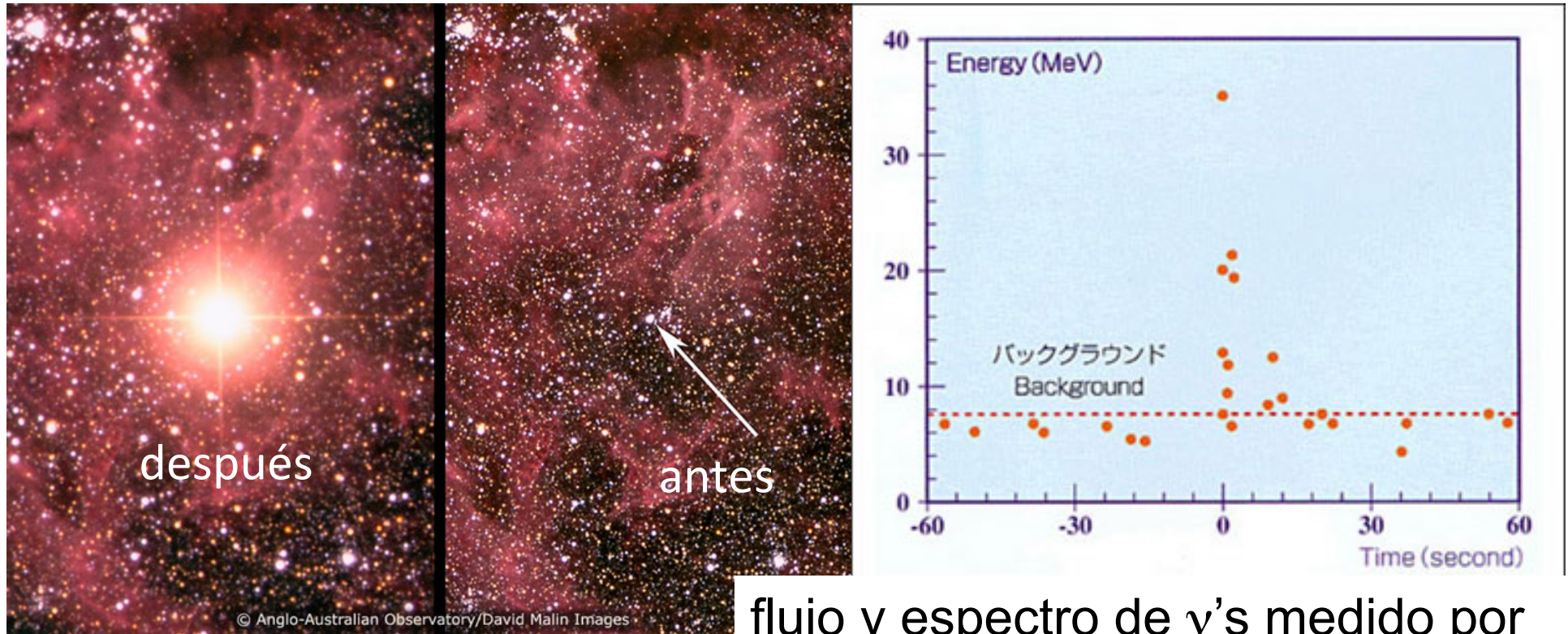


pero la propia Naturaleza nos hizo descubrir que este tipo de detectores son extraordinarios *telescopios de neutrinos*

Kamiokande; Phys. Rev. Lett. 58 (1987) 1490

IMB; Phys. Rev. Lett. 58 (1987) 1494

SuperNova **SN1987A** (Gran Nube de Magallanes)



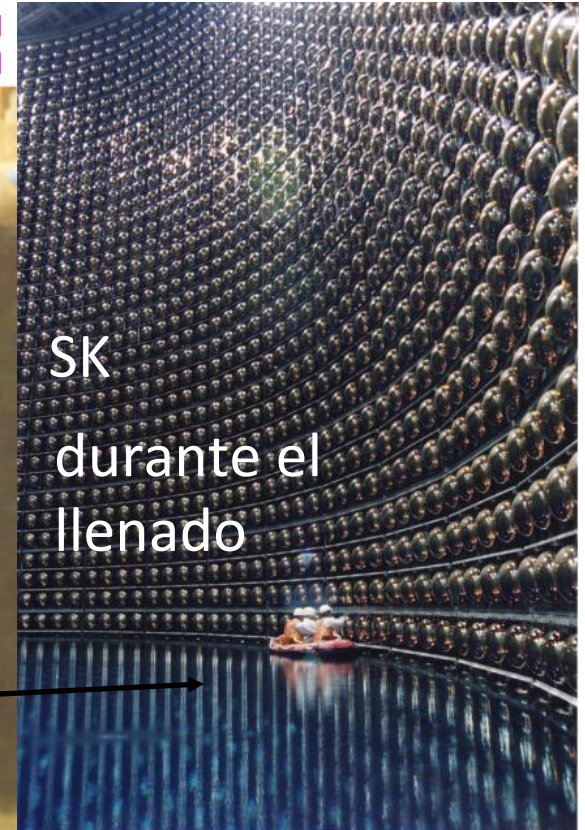
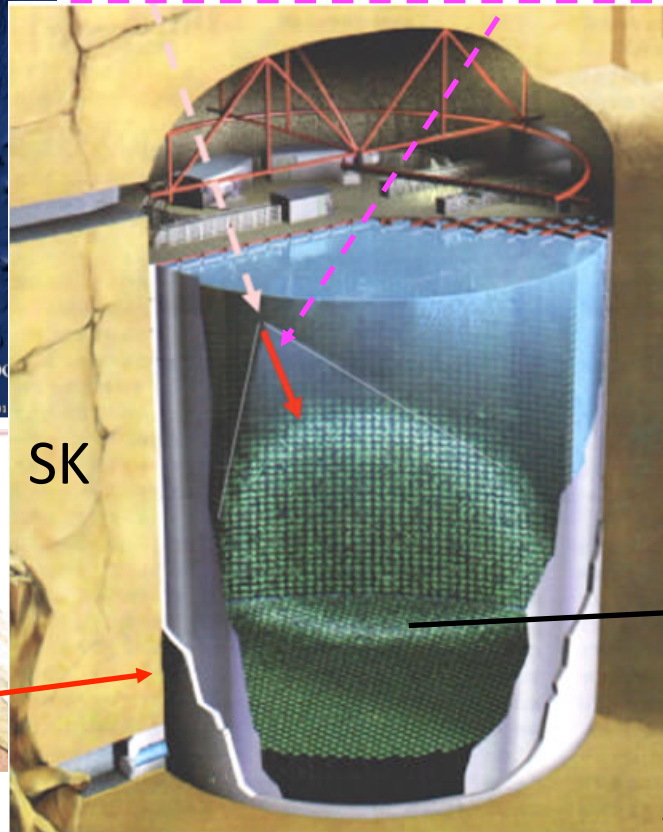
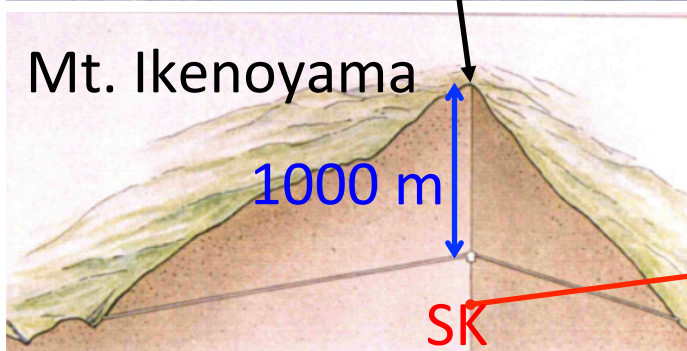
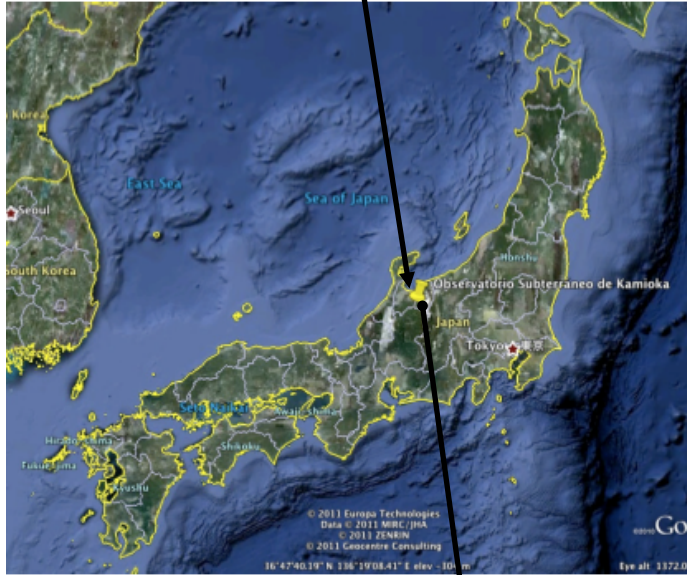
flujo y espectro de ν 's medido por Kamiokande (precursor de SK)

telescopios con los que, además de éste (Nobel 2002), se han hecho otros *descubrimientos fundamentales* (Nobel 2015, ...)

Super-Kamiokande (SK) paradigma de detector agua-Cherenkov

Observatorio de Kamioka
(Prefectura Gifu, Japón)

SK mide la **radiación Cherenkov** generada por las partículas con carga y alta energía



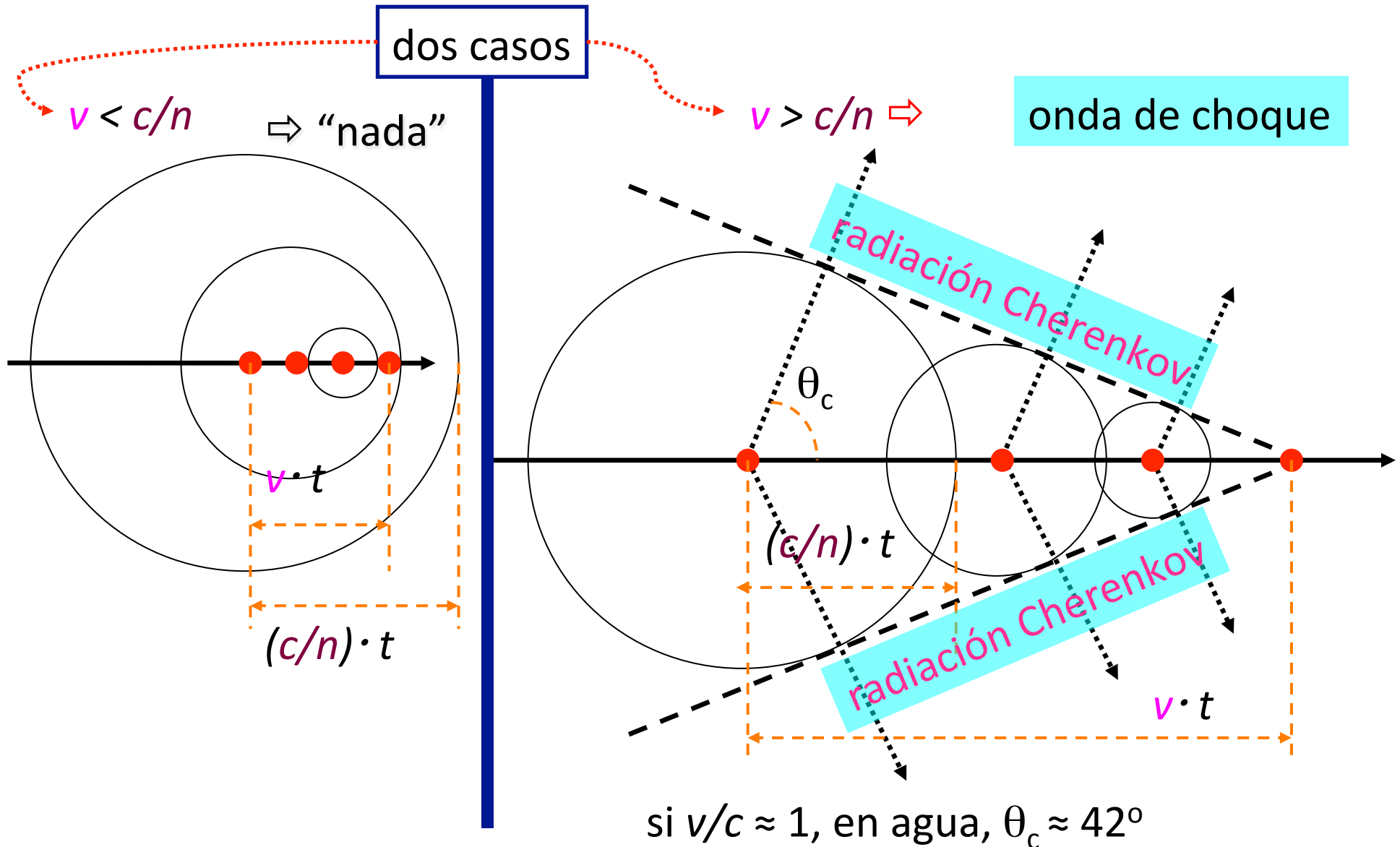
1000 m de tierra para apantallar muones de rayos cósmicos

50.000 m³ de agua
tanque: 40m Ø x 40m H

fotomultiplicadores
11148 de 50 cm Ø
1885 de 20 cm Ø

Básico de la radiación Cherenkov

una **partícula cargada** moviéndose en un medio con velocidad v genera un **campo EM** que se propaga con velocidad c/n



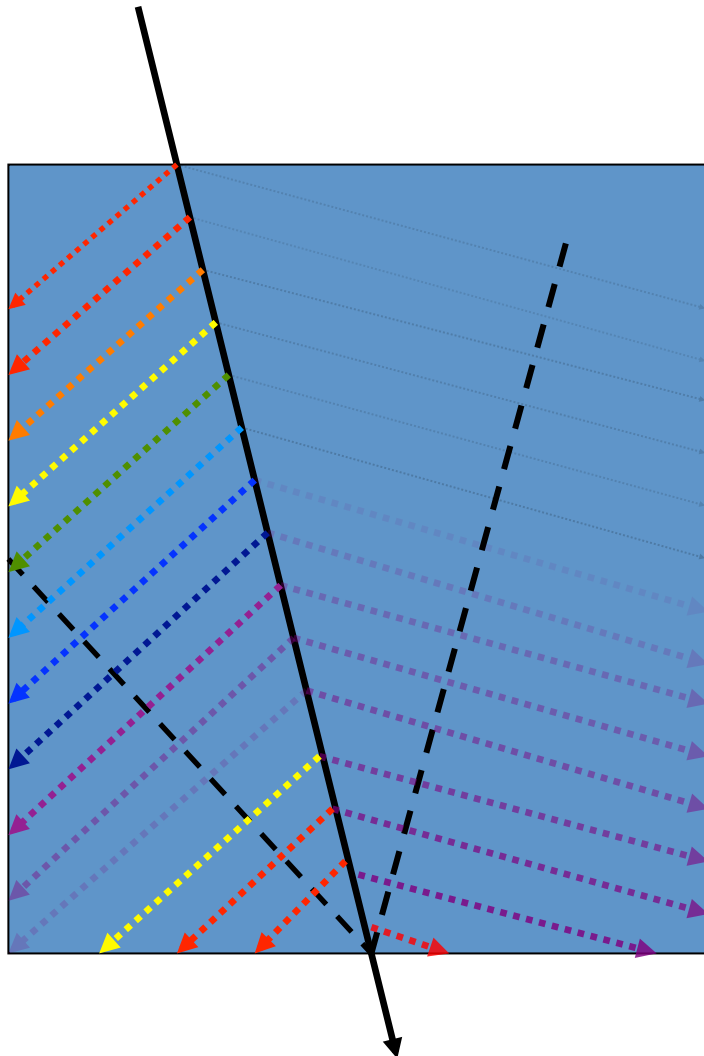
p.e.: la medida del tiempo que tarda la luz Cherenkov en llegar a los PMT's

rojo: corto

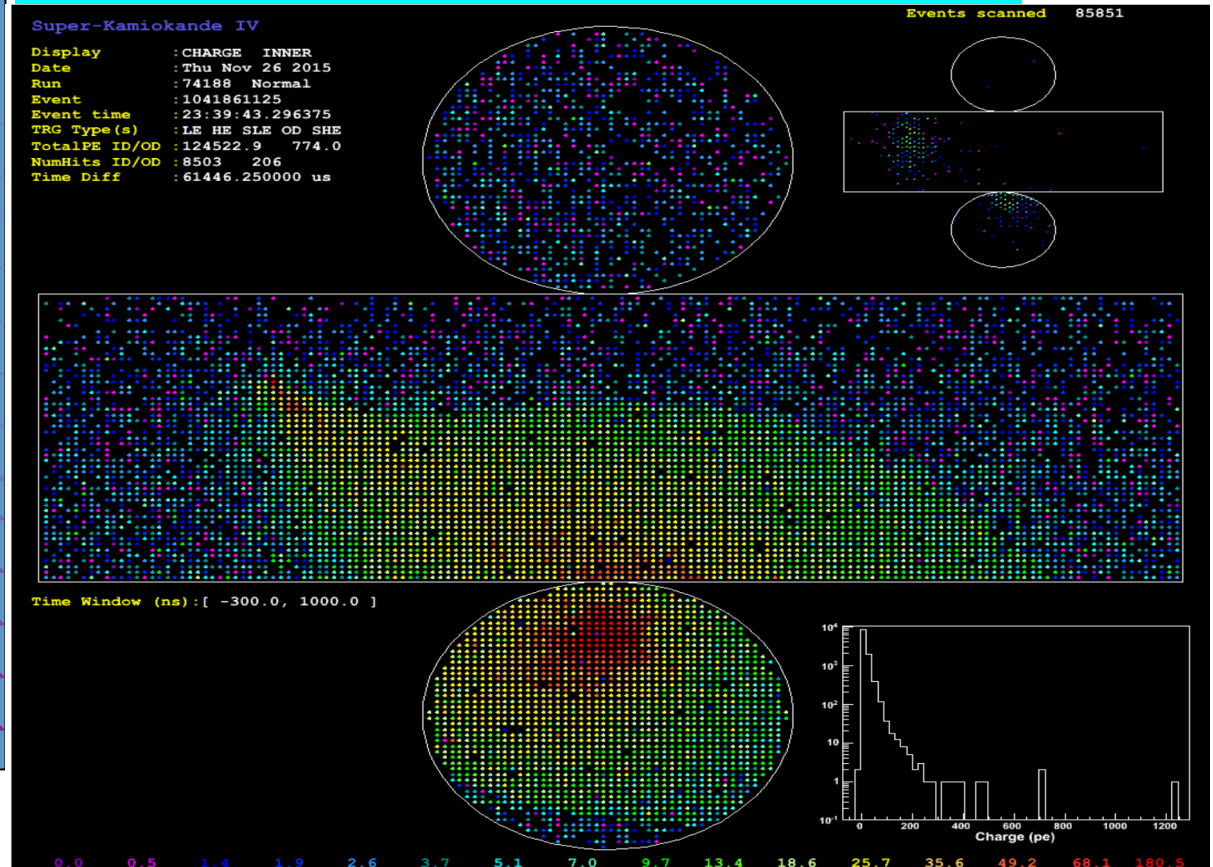
púrpura: largo

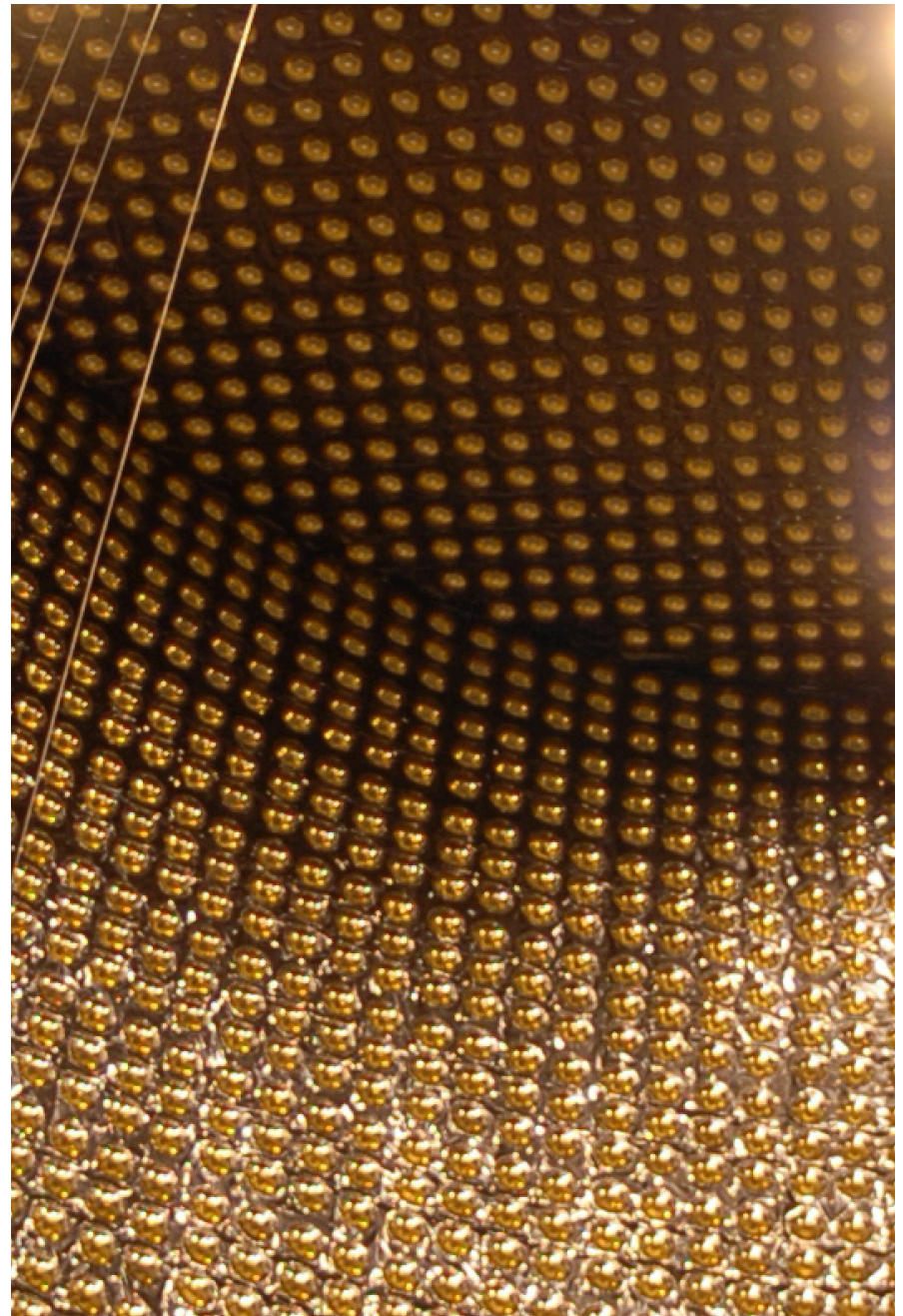
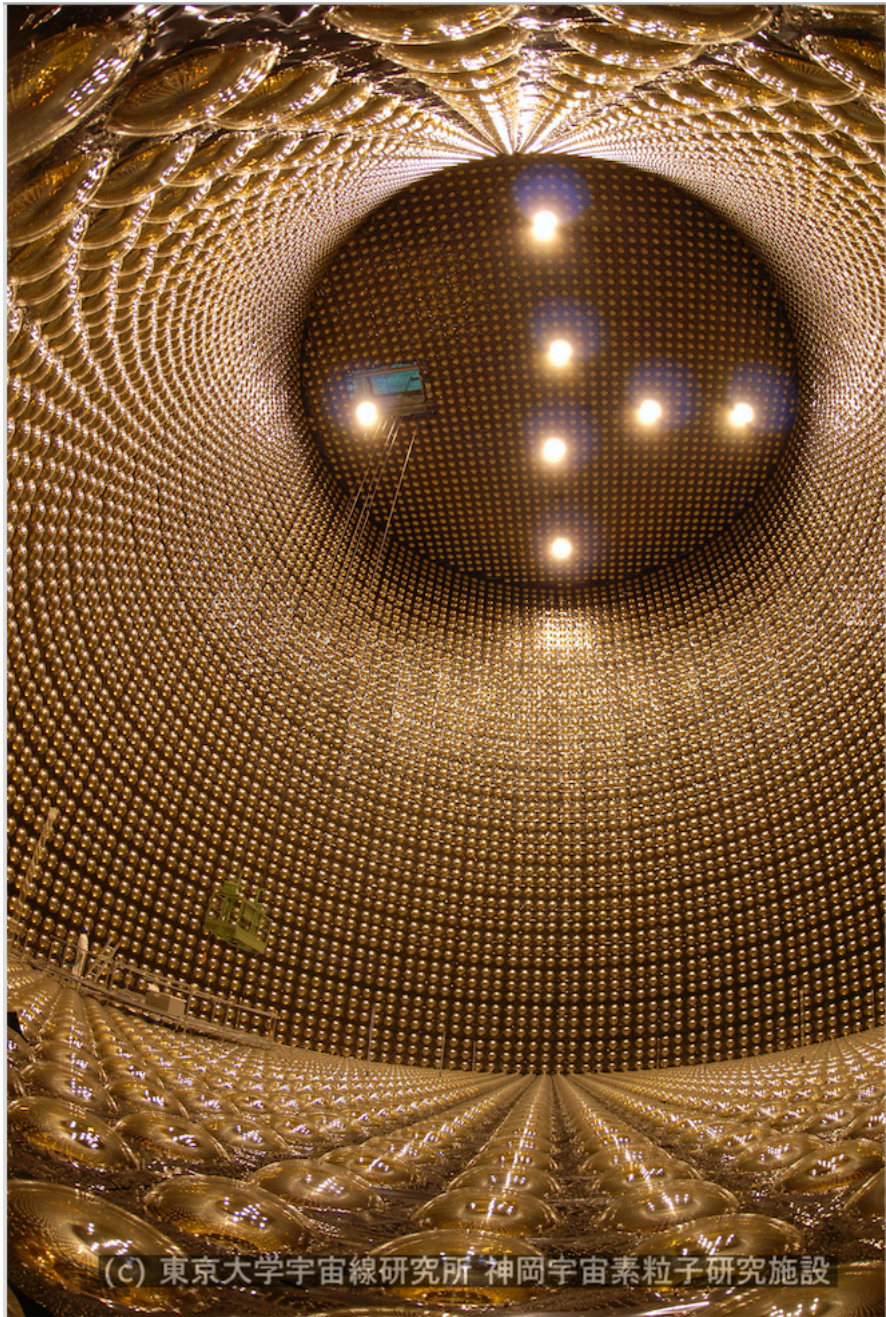
púrpura suave: muy largo

nos permite reconstruir la trayectoria de las partículas ...



1 muón incidiendo por arriba izquierda
medida de carga

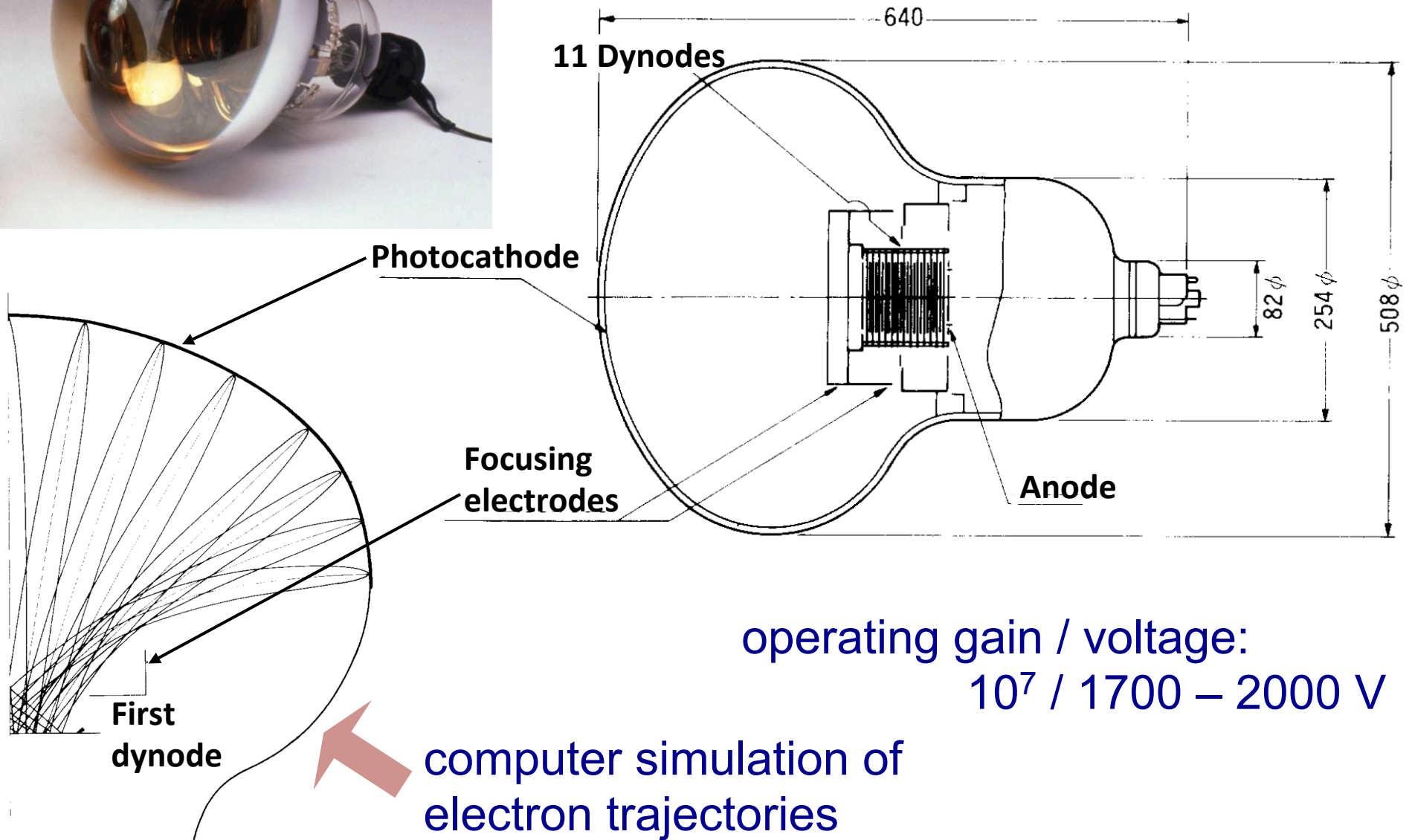




Hamamatsu R3600



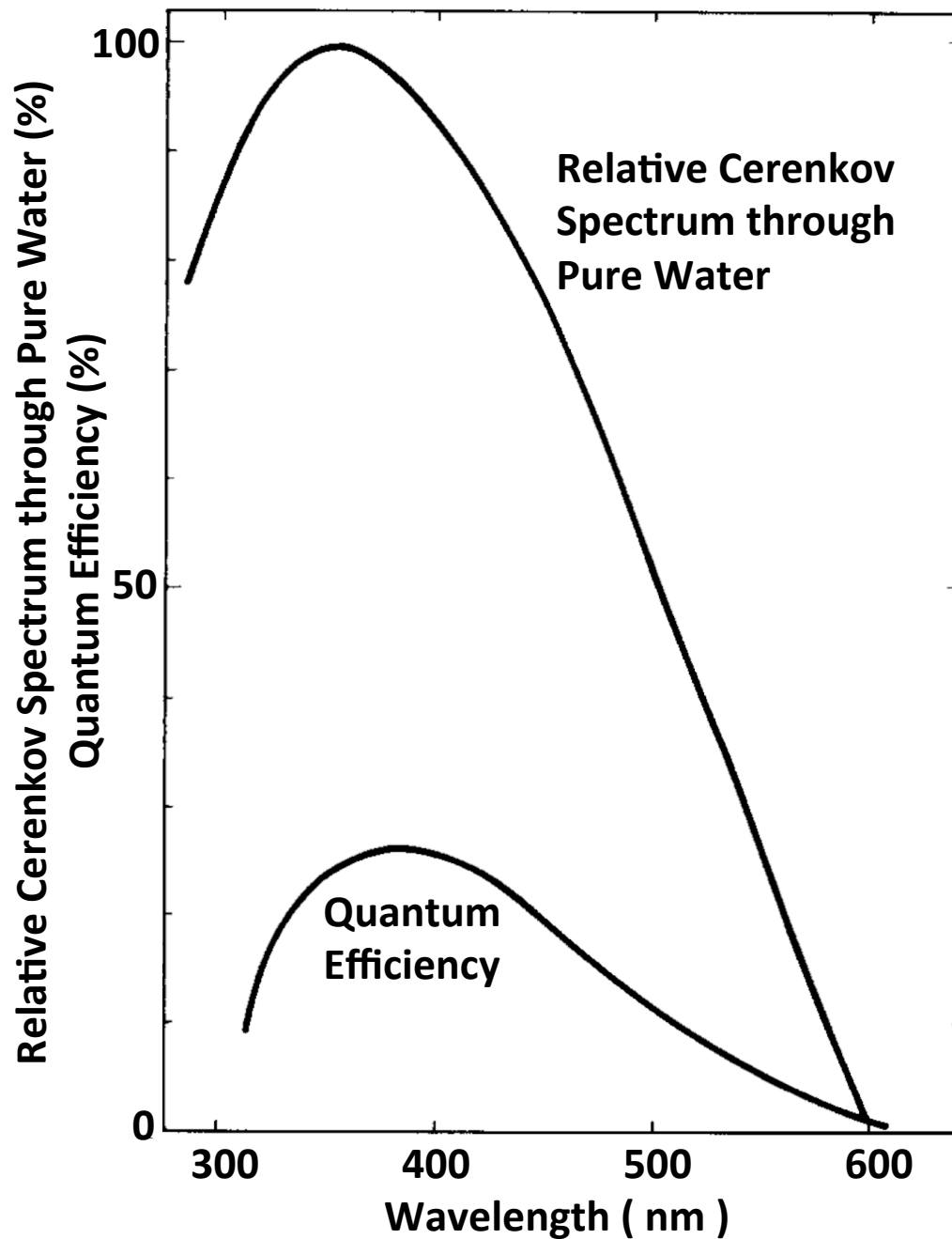
Basic sketch:



Hamamatsu R3600

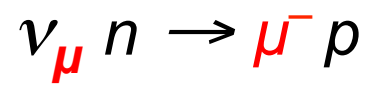


timing resolution ≈ 2 ns
1 p.e. charge resolution: 53 %
dark noise (< 0.25 p.e.) ≈ 3 kHz

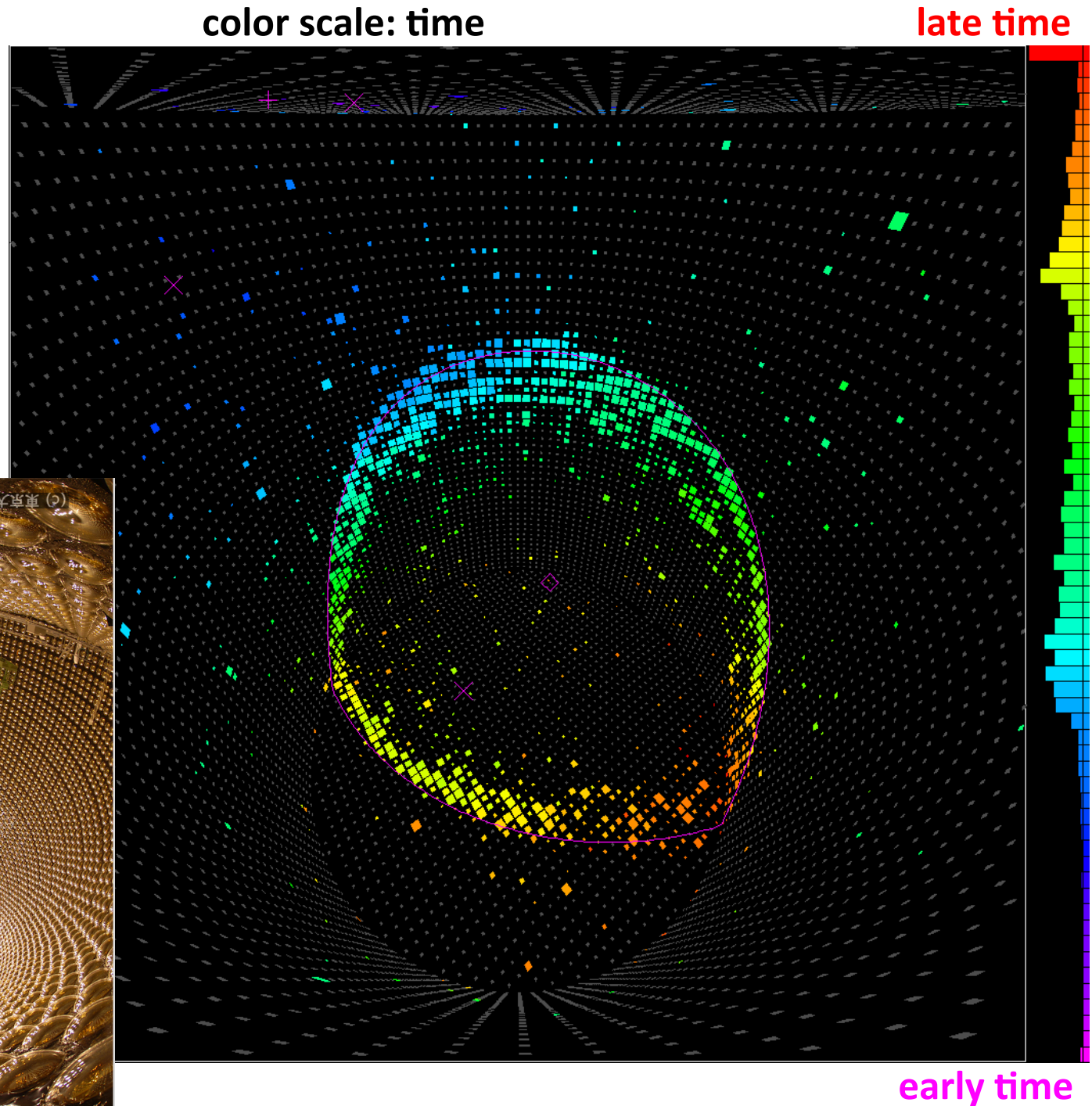
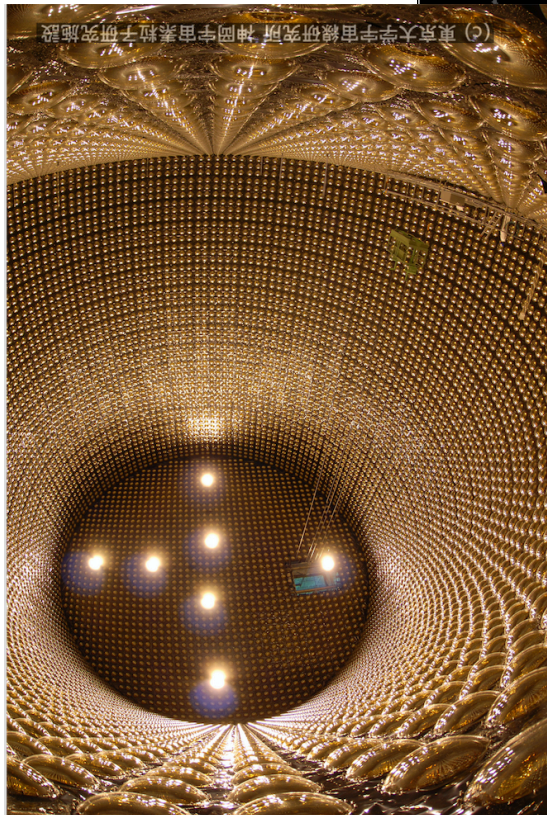


ν_{μ} interaction

probably CC:

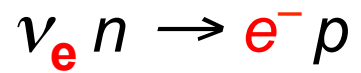


Seen is the μ^{-}
reconstructed
 $E[\mu] = 603 \text{ MeV}$



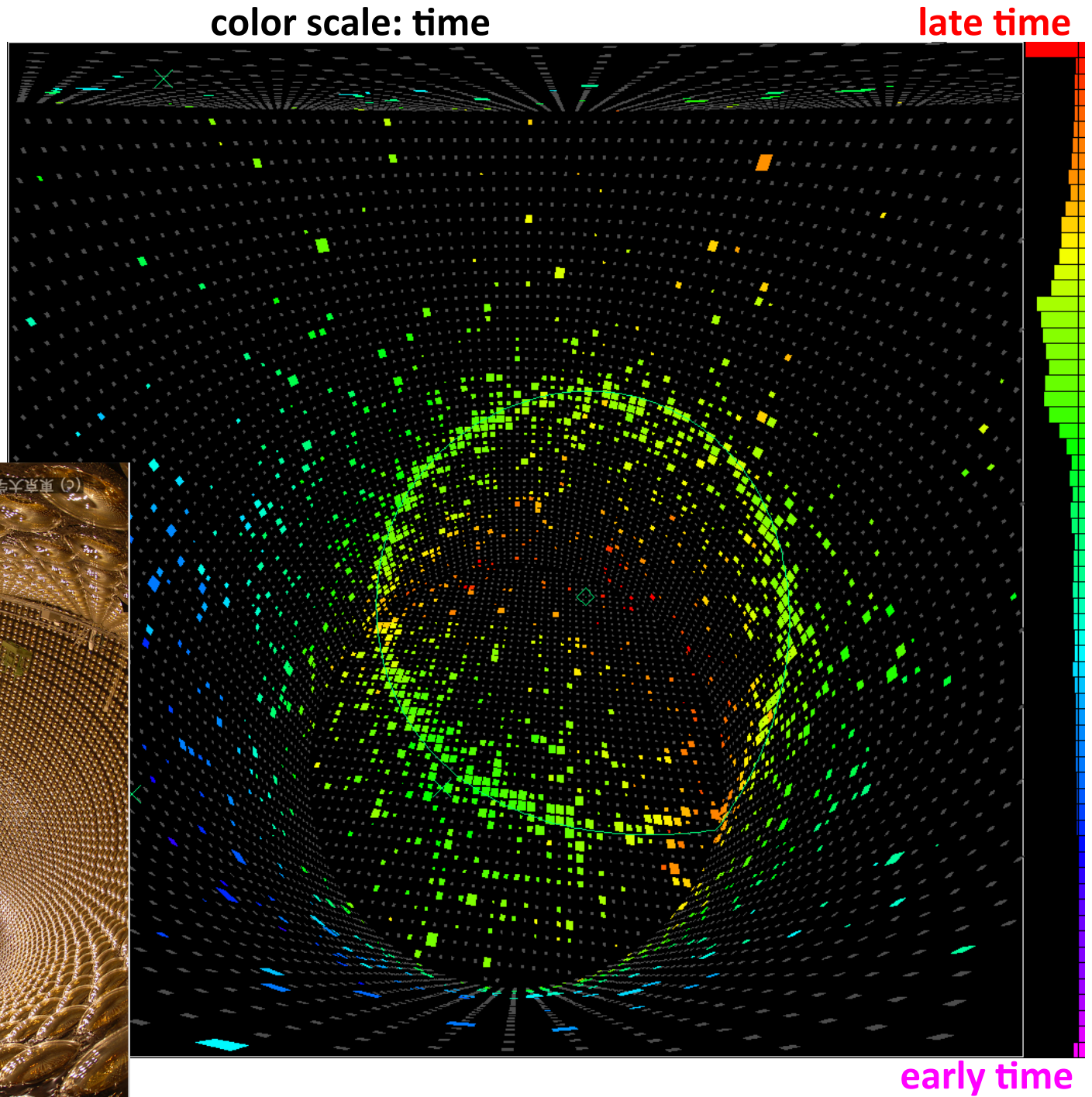
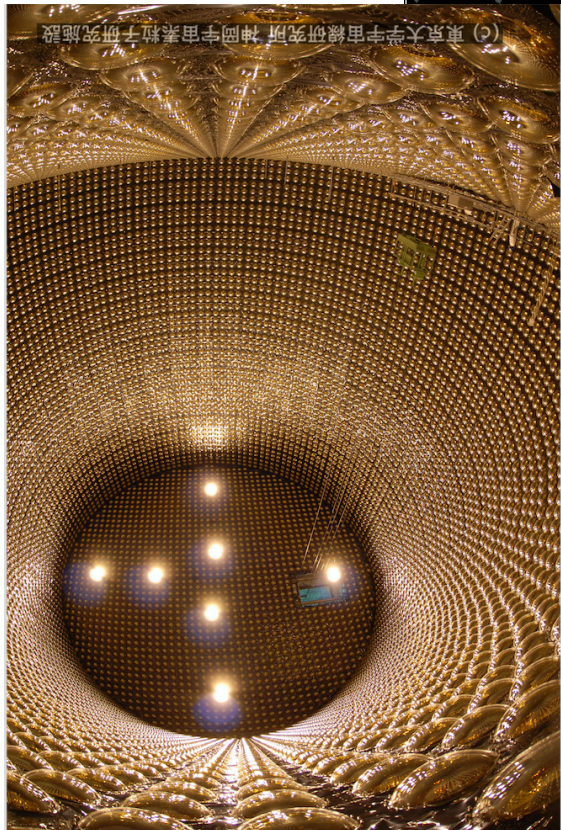
ν_e interaction
 e^-

probably CC:

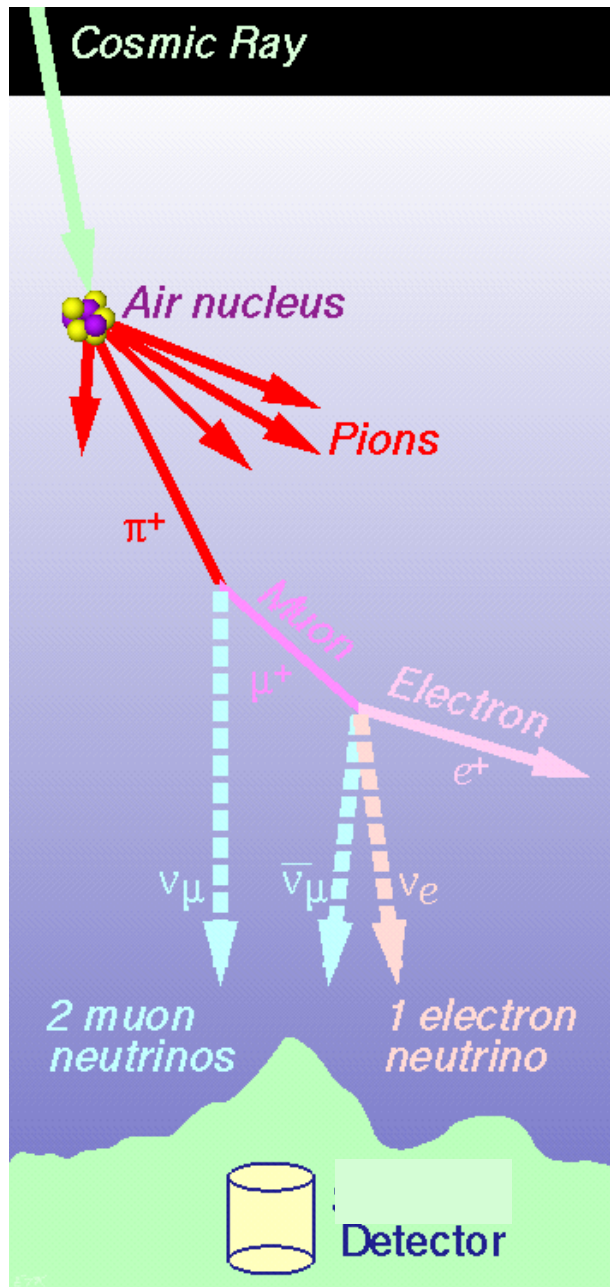


Seen is the e^-
reconstructed

$E[e^-] = 492 \text{ MeV}$



Atmospheric ν 's

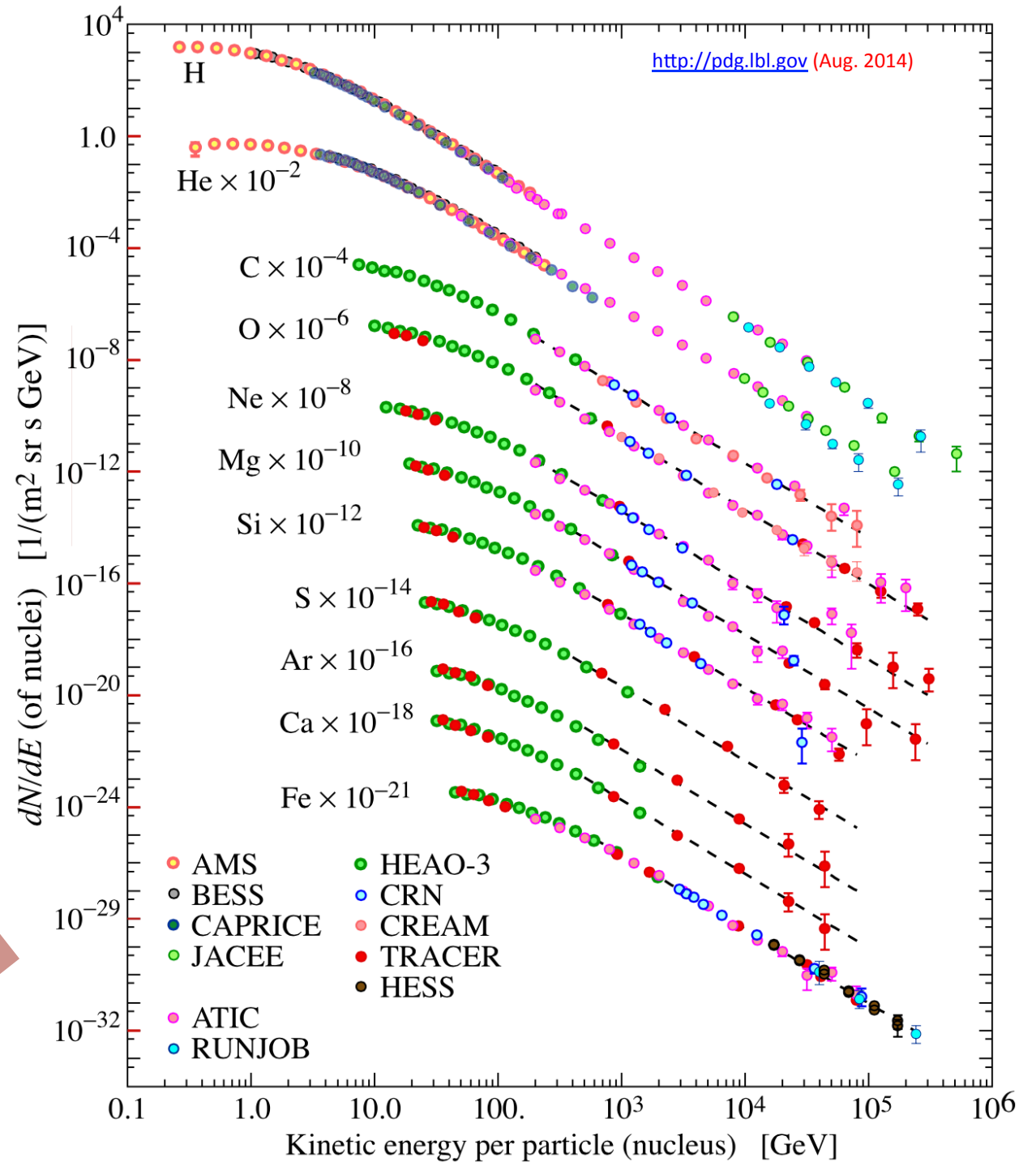


result from the decay of particles produced in the interactions of **Cosmic Rays** with the **atmosphere** (mainly K^\pm , π^\pm , μ^\pm)

K^+ DECAY MODES	Fraction (Γ_i/Γ)
Leptonic and semileptonic modes	
$K^+ \rightarrow e^+ \nu_e$	$(1.55 \pm 0.07) \times 10^{-5}$
$K^+ \rightarrow \mu^+ \nu_\mu$	$(63.55 \pm 0.11) \%$
$K^+ \rightarrow \pi^0 e^+ \nu_e$ Called K_{e3}^+	$(5.07 \pm 0.04) \%$
$K^+ \rightarrow \pi^0 \mu^+ \nu_\mu$ Called $K_{\mu3}^+$	$(3.353 \pm 0.034) \%$
π^+ DECAY MODES	Fraction (Γ_i/Γ)
$\mu^+ \nu_\mu$	[b] $(99.98770 \pm 0.00004) \%$
$\mu^+ \nu_\mu \gamma$	[c] $(2.00 \pm 0.25) \times 10^{-4}$
$e^+ \nu_e$	[b] $(1.230 \pm 0.004) \times 10^{-4}$
μ^- DECAY MODES	Fraction (Γ_i/Γ)
$e^- \bar{\nu}_e \nu_\mu$	$\approx 100\%$
$e^- \bar{\nu}_e \nu_\mu \gamma$	[d] $(1.4 \pm 0.4) \%$
$e^- \bar{\nu}_e \nu_\mu e^+ e^-$	[e] $(3.4 \pm 0.4) \times 10^{-5}$

they span a very large range of energy ↩️

Major components of primary CR radiation:

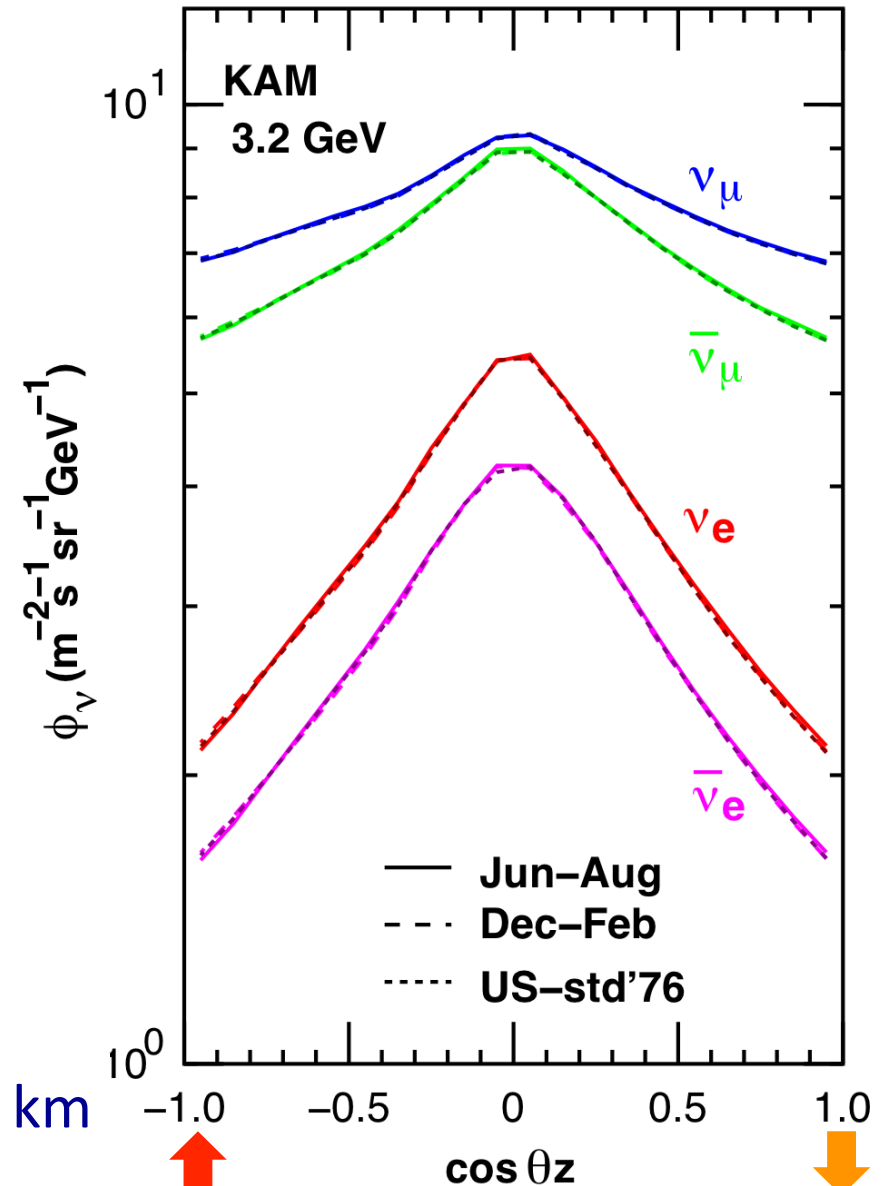
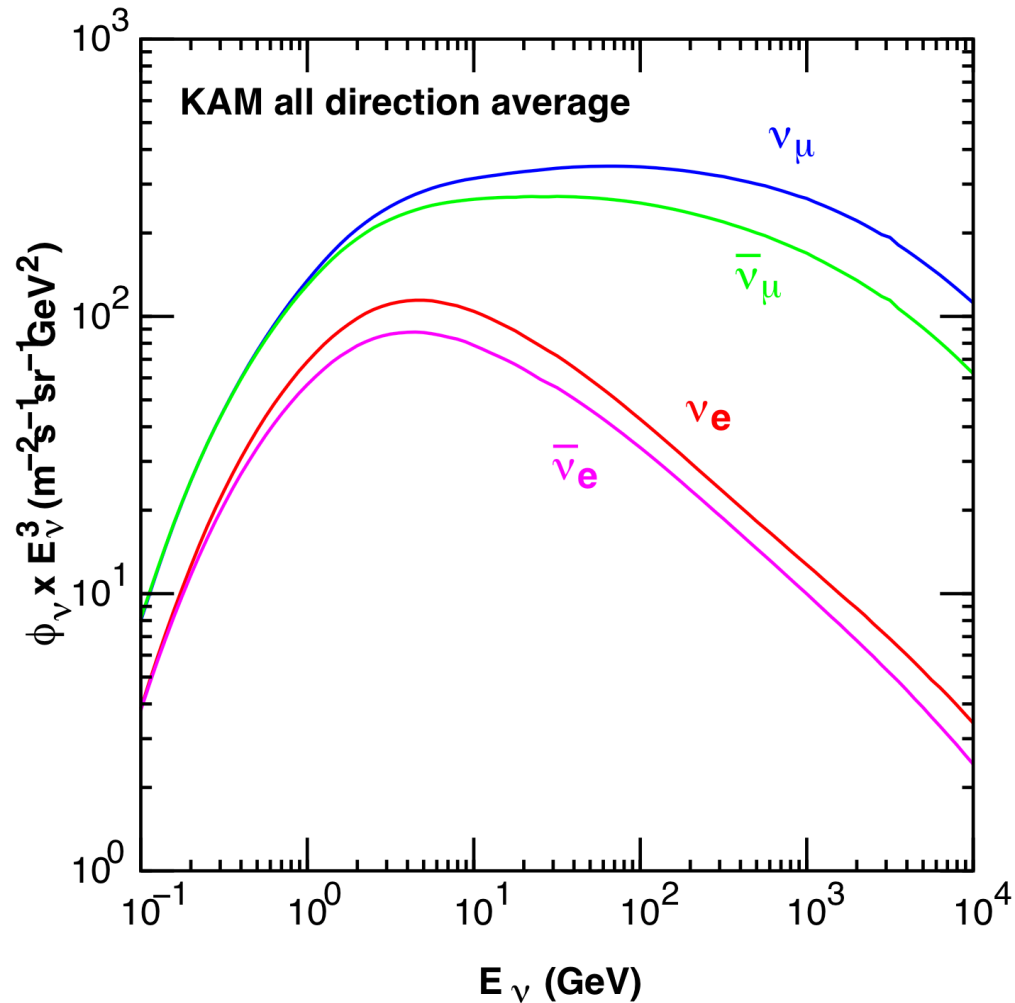


Estimate ν flux:



Atmospheric Neutrinos: Predicted Fluxes at Super-Kamiokande

M. Honda, M.S. Athar, T. Kajita, K. Kasahara, S. Mirdorikawa; arXiv:1502.03916v2



\uparrow upwards, travel ≈ 13000 km

\downarrow downwards, travel ≈ 15 km

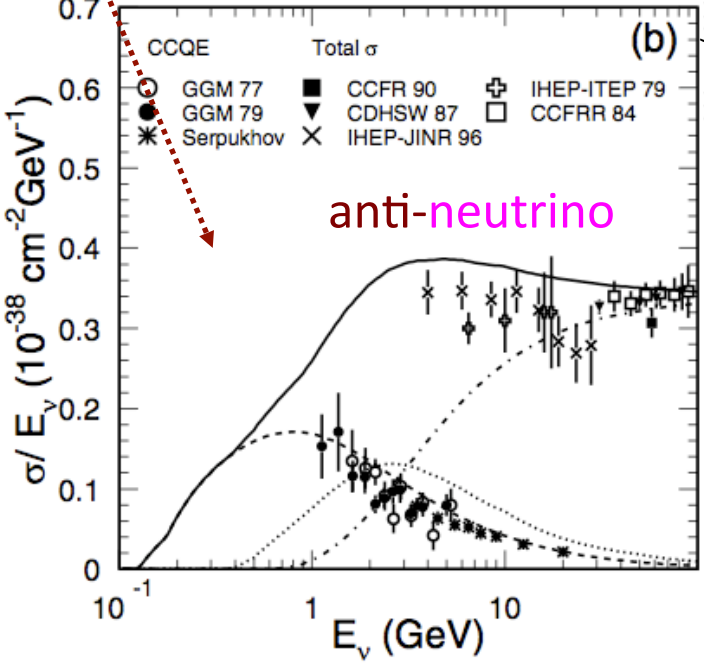
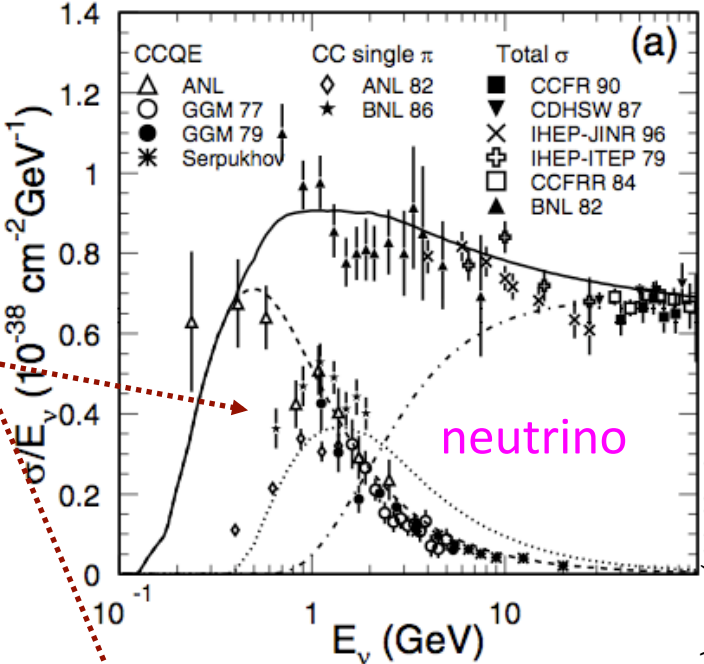
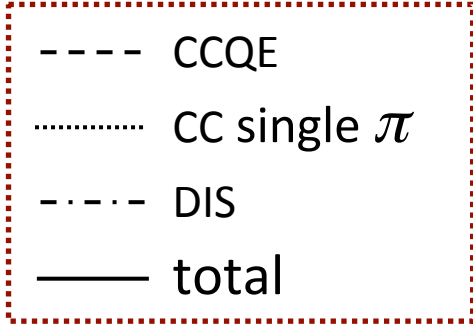
Main interactions

Elastic $\nu + e \rightarrow \nu + e$

quasi-elastic
 CC:
 $\nu_l n \rightarrow l^- p$
 —
 $\nu_l p \rightarrow l^+ n$ (IBD) ← **< 1 GeV**

1 meson CC:
 a) $\nu N \rightarrow l N^*, N^* \rightarrow m N'$
N, N': nucleons
N: baryon resonance*
m: meson (π 's, also K, η)
 b) coherent π production: $\nu^{16}O \rightarrow l \pi^{16}O$ ← **~ 1 GeV**

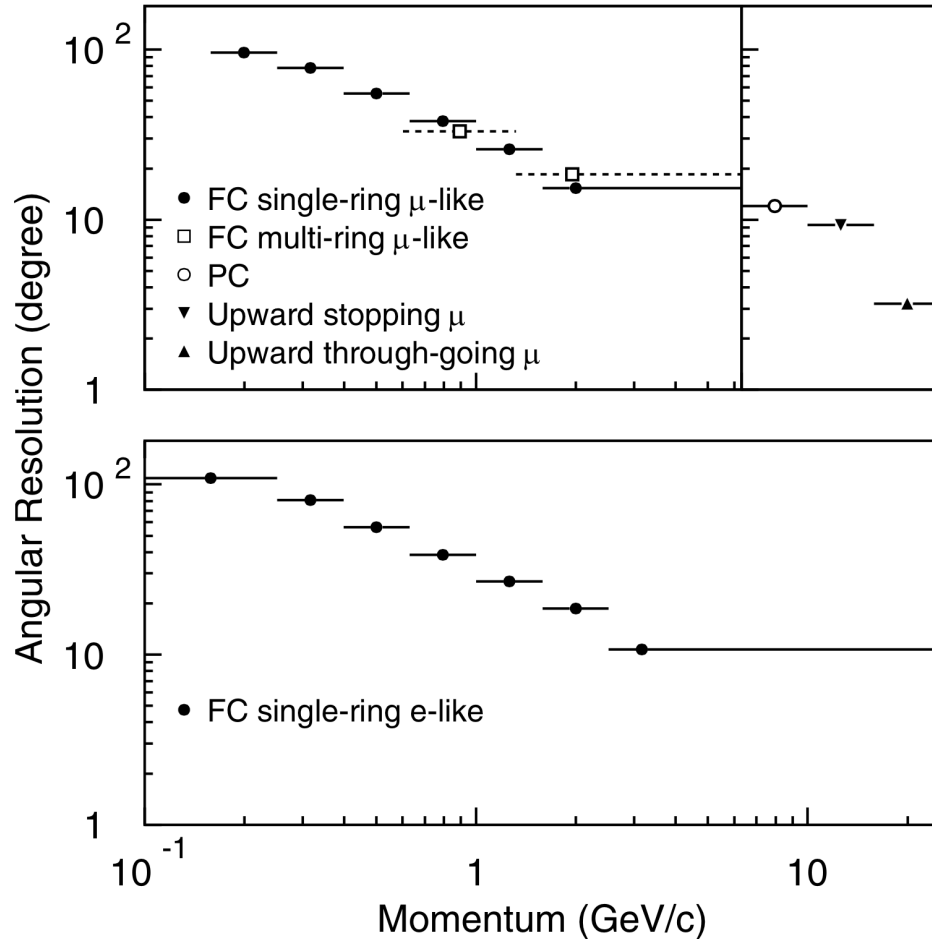
DIS CC:
 $\nu_l n \rightarrow l^- + \text{hadrons}$ ← **High Energy**



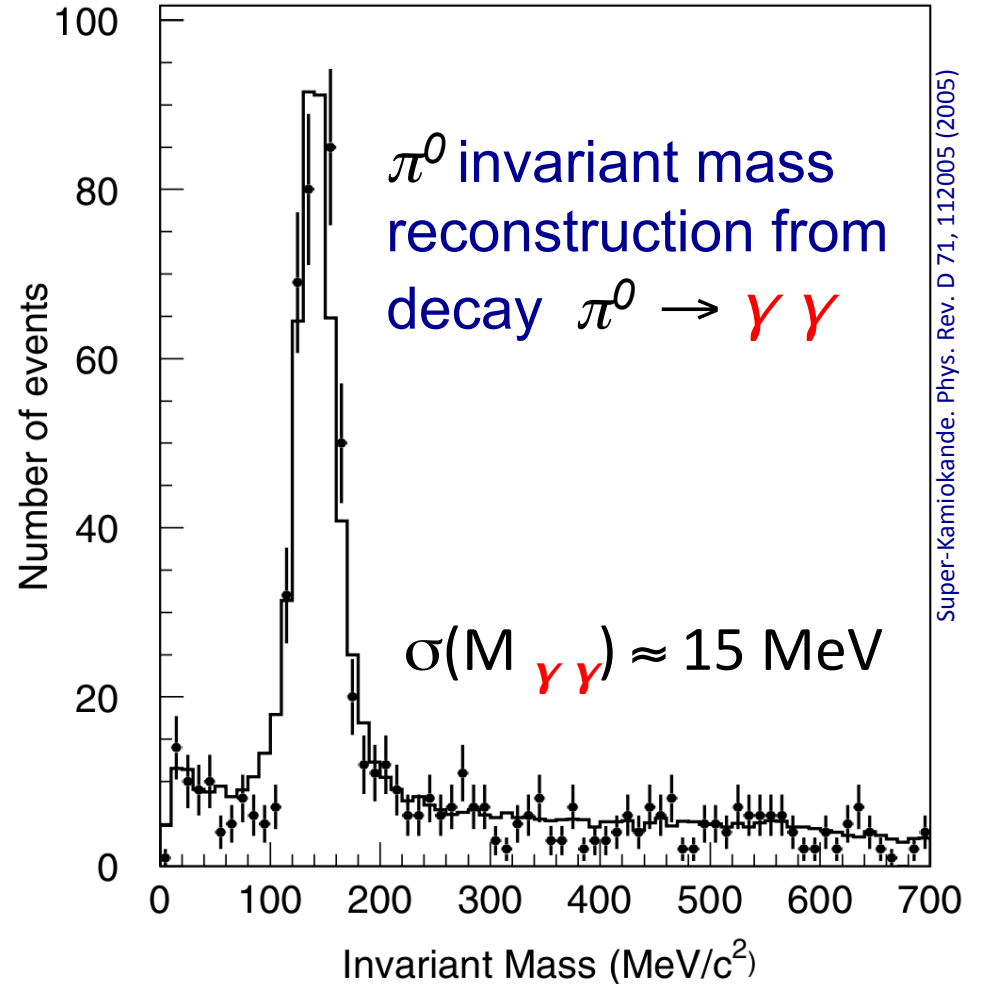
SK Collab.: PRD71(2005)112005

Atmospheric ν s reconstruction by Super-Kamiokande

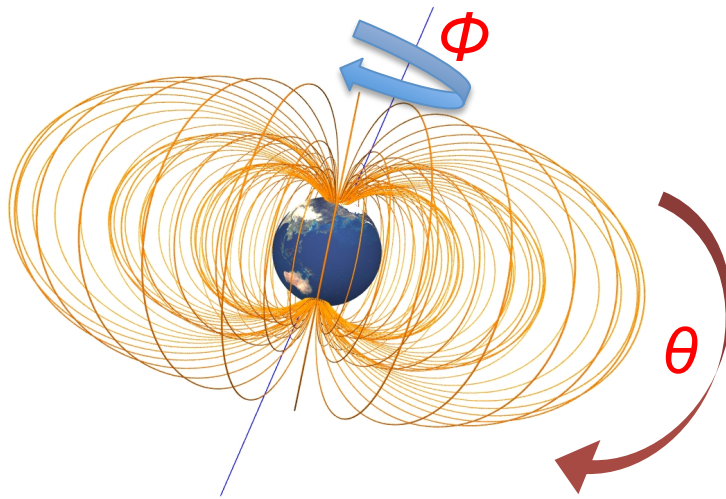
Angular resolution for different type of events vs. momentum



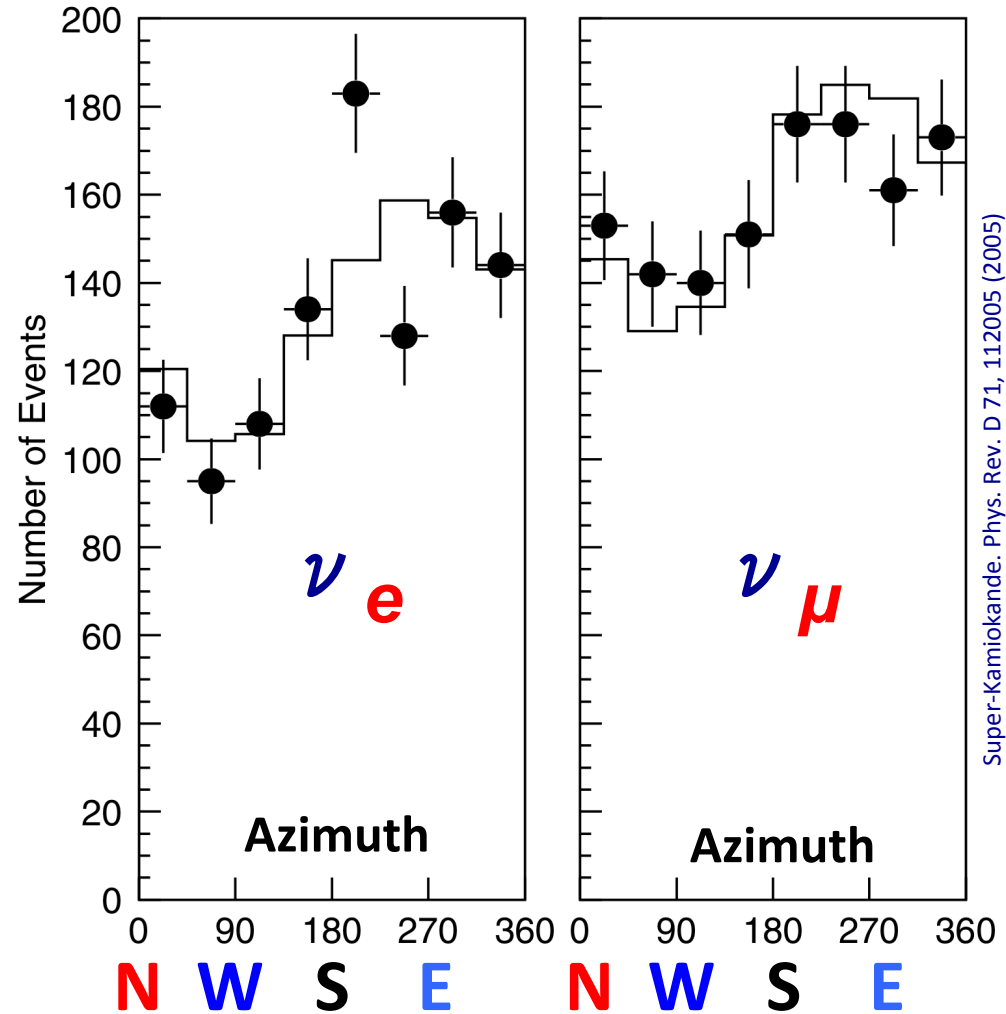
Momentum reconstruction



ν_e , ν_μ fluxes vs. incidence angle: ϕ symmetry must hold
 [not really because of earth magnetic field: **E – W** effect]



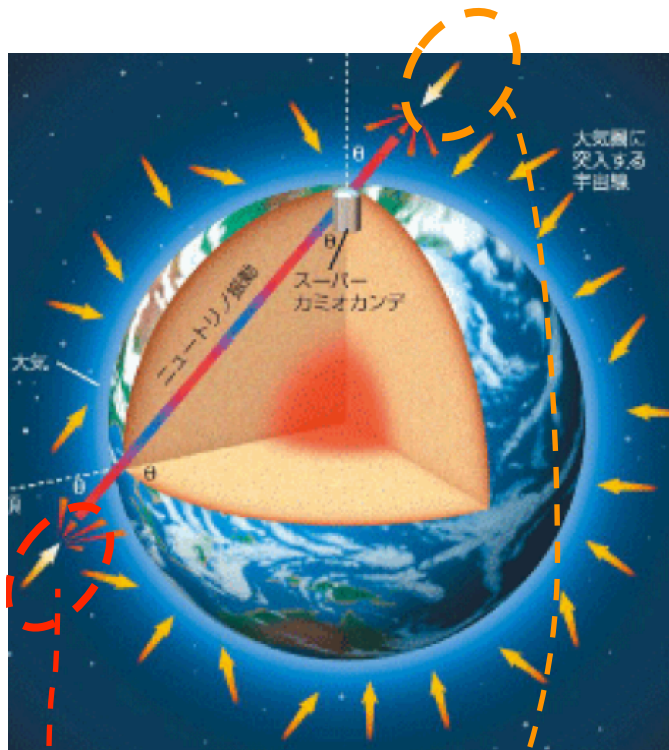
$0.4 \text{ GeV} < E(\nu) < 3 \text{ GeV}$
 $|\cos \theta| < 0.5$



Super-Kamiokande. Phys. Rev. D 71, 112005 (2005)

→ ϕ (azimuth) symmetry holds

ν_e , ν_μ fluxes vs. energy and θ incidence angle (zenith)

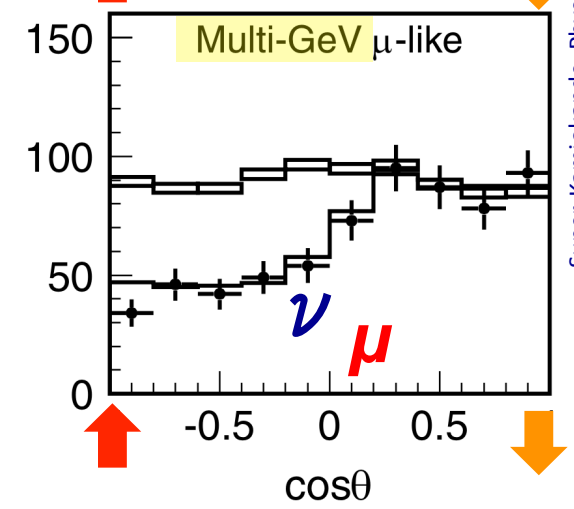
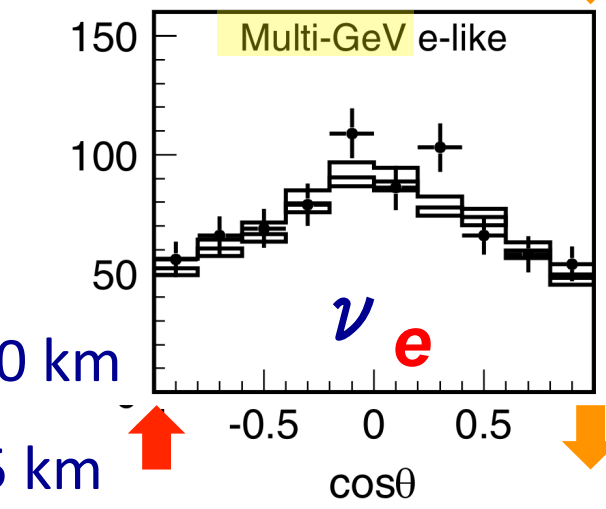
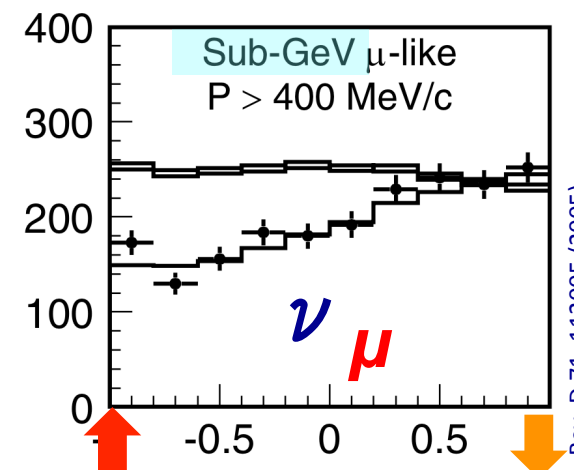
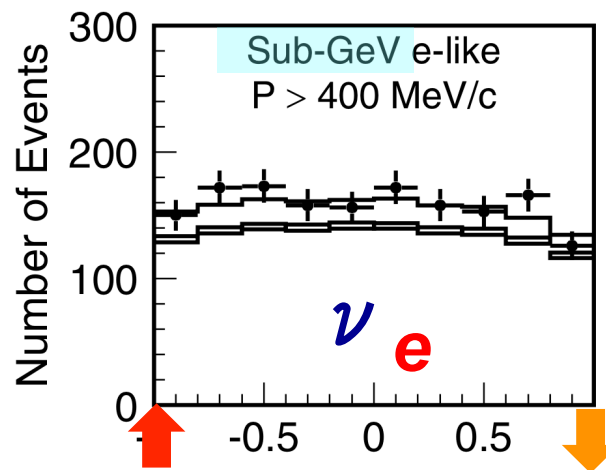


↑ upwards, travel ≈ 13000 km

↓ downwards, travel ≈ 15 km

measured ν_μ flux strongly dependent on travel distance

small effects on ν_e
(note high energy)

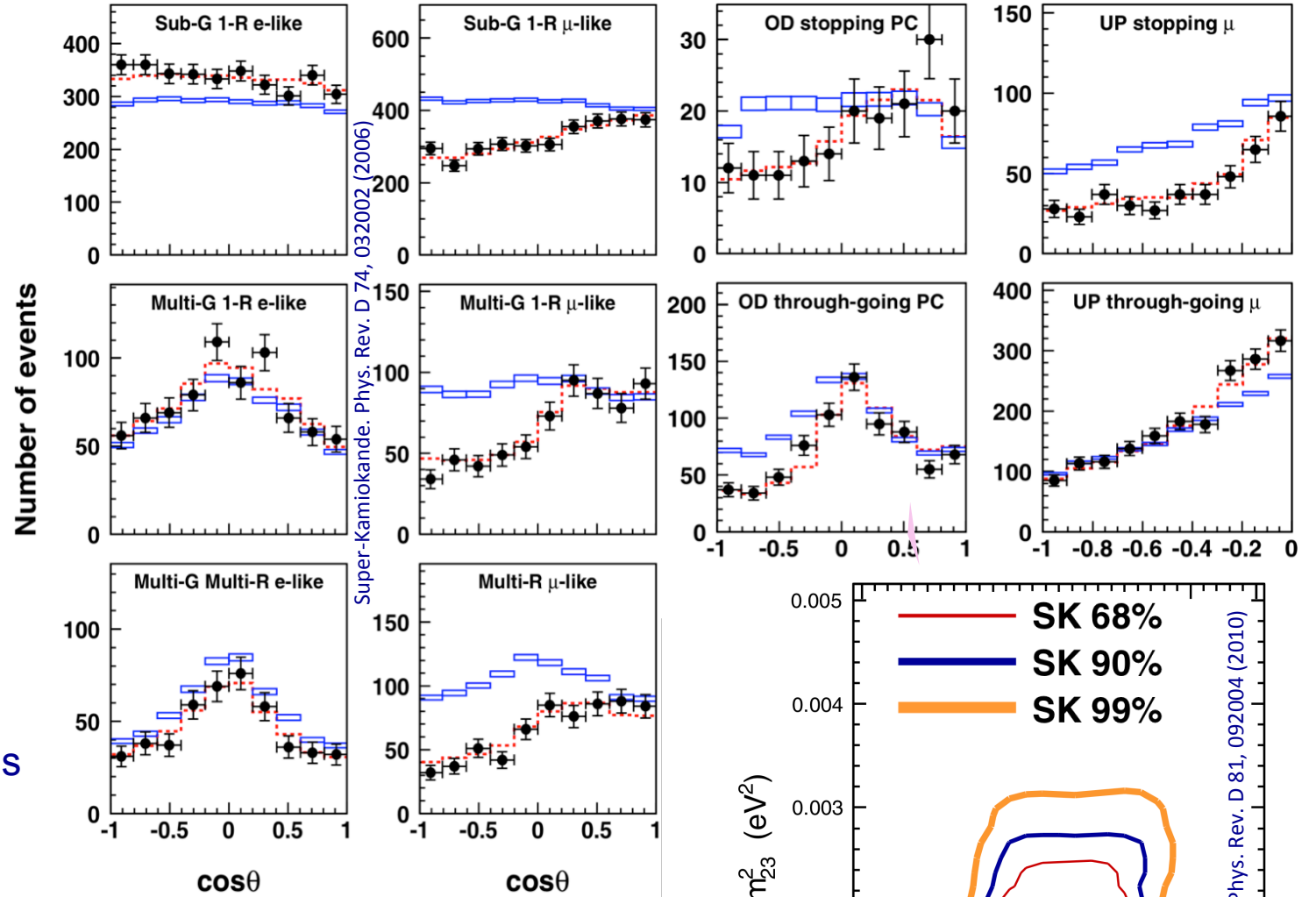
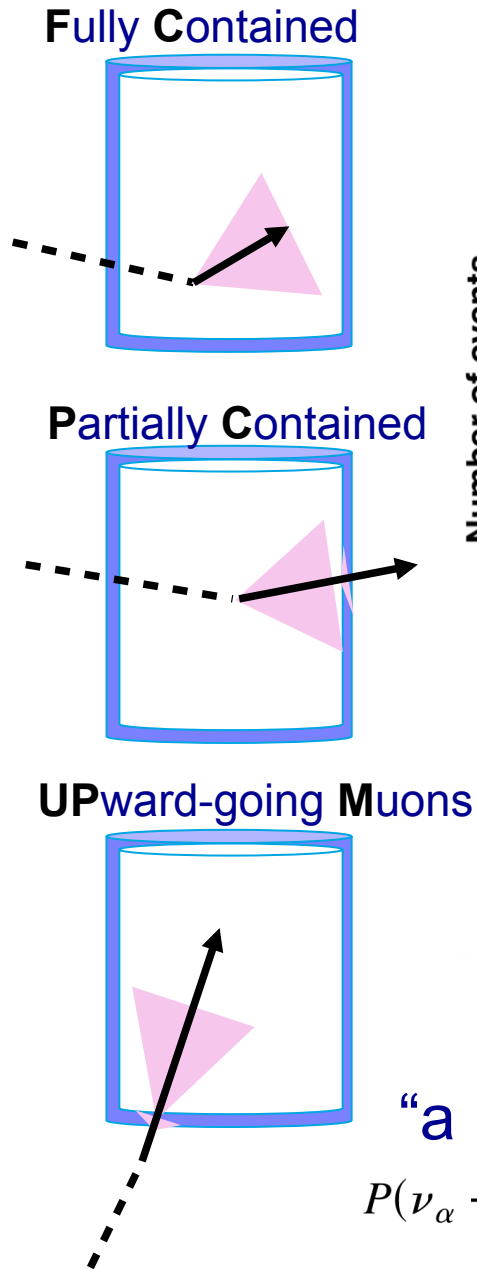


Super-Kamiokande. Phys. Rev. D 71, 112005 (2005)

→ ν_μ oscillates → massive ν_x
(mainly to ν_τ)

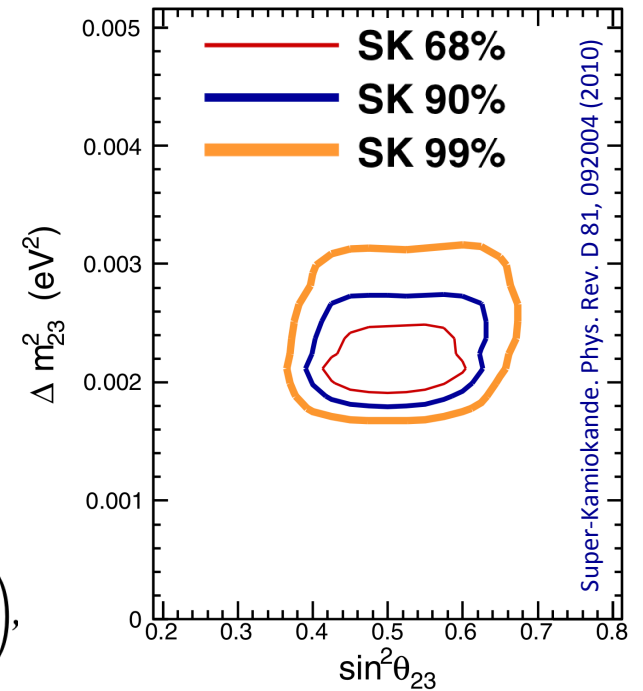
✓
Nobel 2015

A full oscillation analysis:

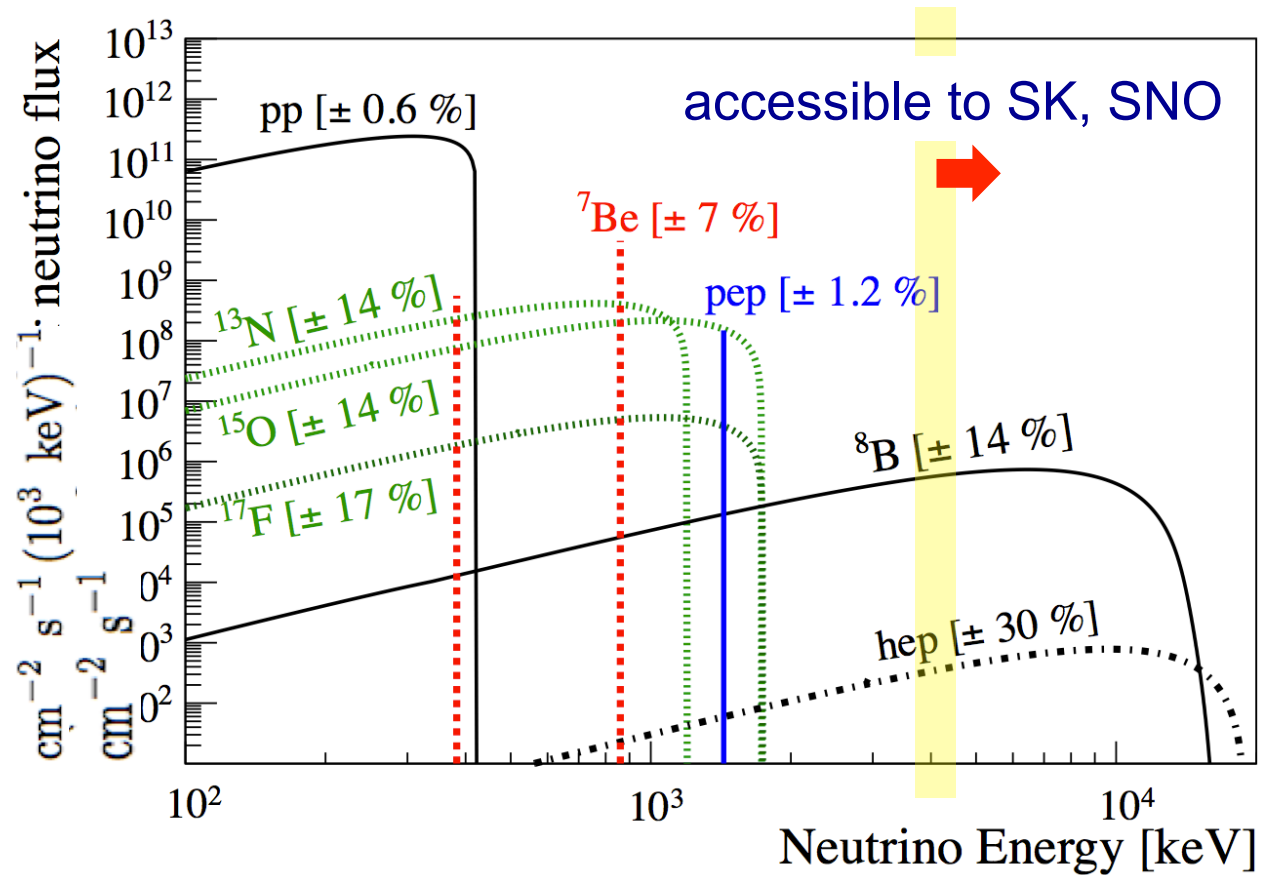


“a la”:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left(\frac{1.27 \Delta m^2 (\text{eV}^2) L (\text{km})}{E_\nu (\text{GeV})} \right),$$



Solar ν 's



Bahcall, Serenelli, Basu; Astrophys. J. 621 (2005) 85
 Serenelli, Haxton, Peña-Garay; Astrophys. J. 743 (2011) 24

Very low energies:

Cl, Ga experiments. Very difficult, counting experiments

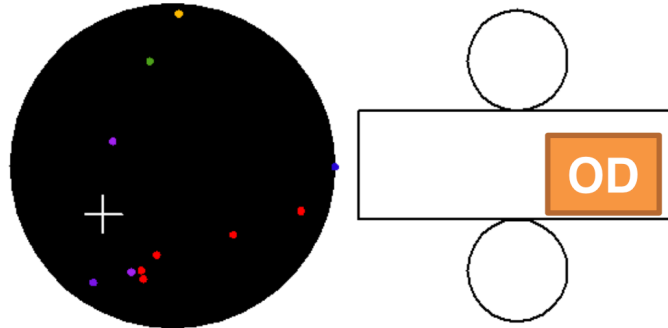
SK: precise measurement of [only] ν_e from elastic scattering
 $\nu + e \rightarrow \nu + e$

SNO: also NC \rightarrow access to $\nu_e, \nu_\mu, \nu_\tau \rightarrow$ **direct access to flavor oscillation**

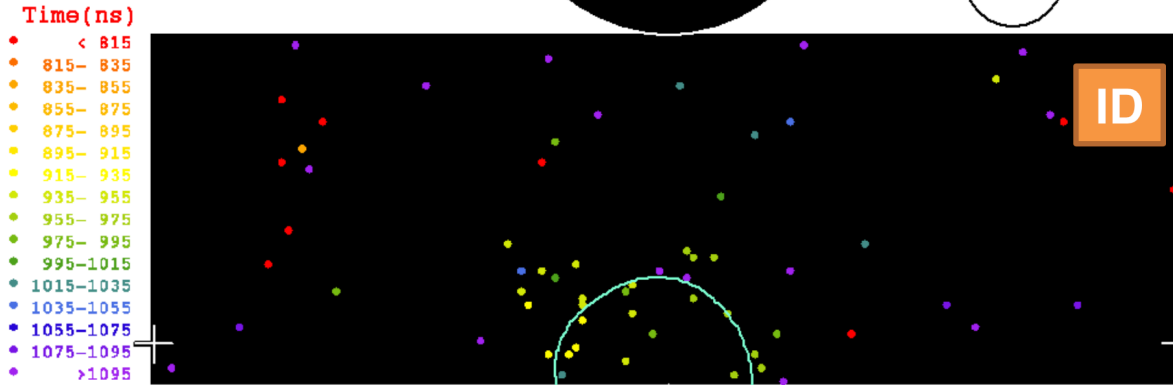
Solar ν 's

Super-Kamioke

Run 1742 Event 102496
 96-05-31:07:13:23
 Inner: 103 hits, 123 pE
 Outer: -1 hits, 0 pE (in-time)
 Trigger ID: 0x03
 E = 9.086 GDN=0.77 COSSUN= 0.949
 Solar Neutrino

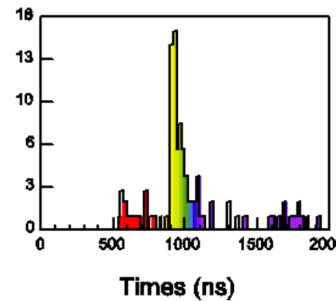
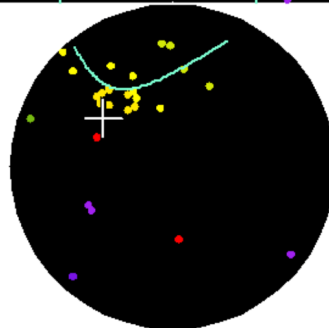


Elastic scattering (ES) reaction is used for solar neutrinos



(color: time)

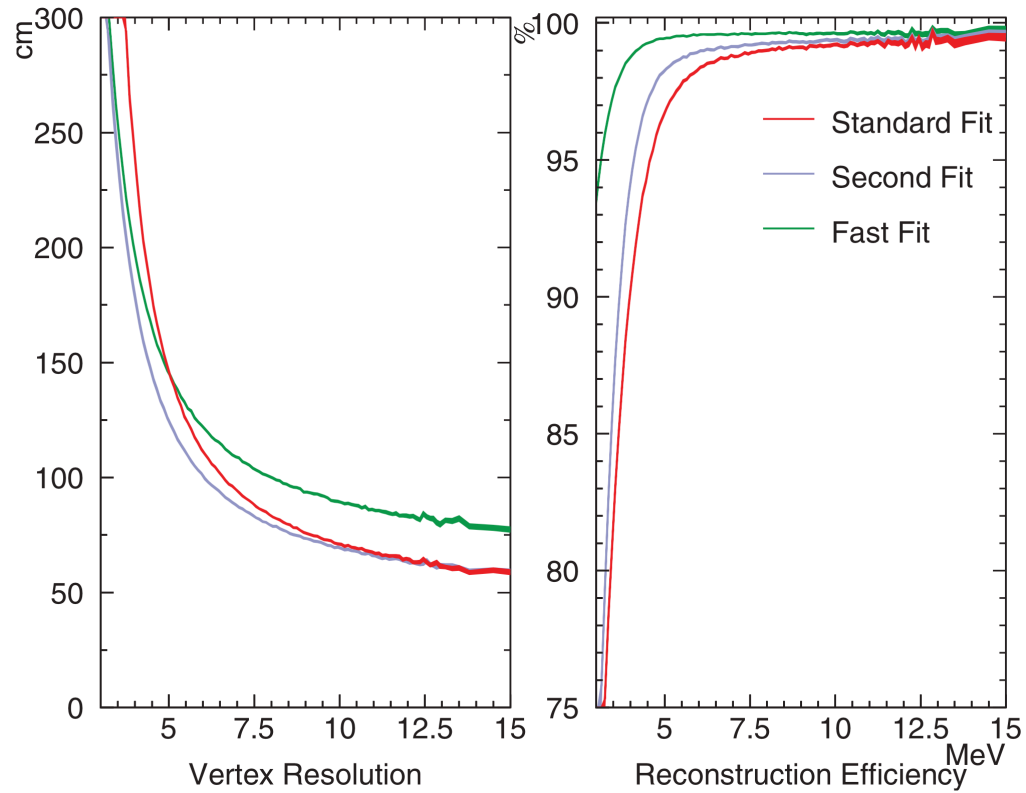
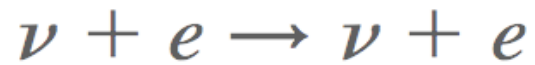
$E_{\text{total}} = 9.1 \text{ MeV}$
 $\cos\theta_{\text{sun}} = 0.95$



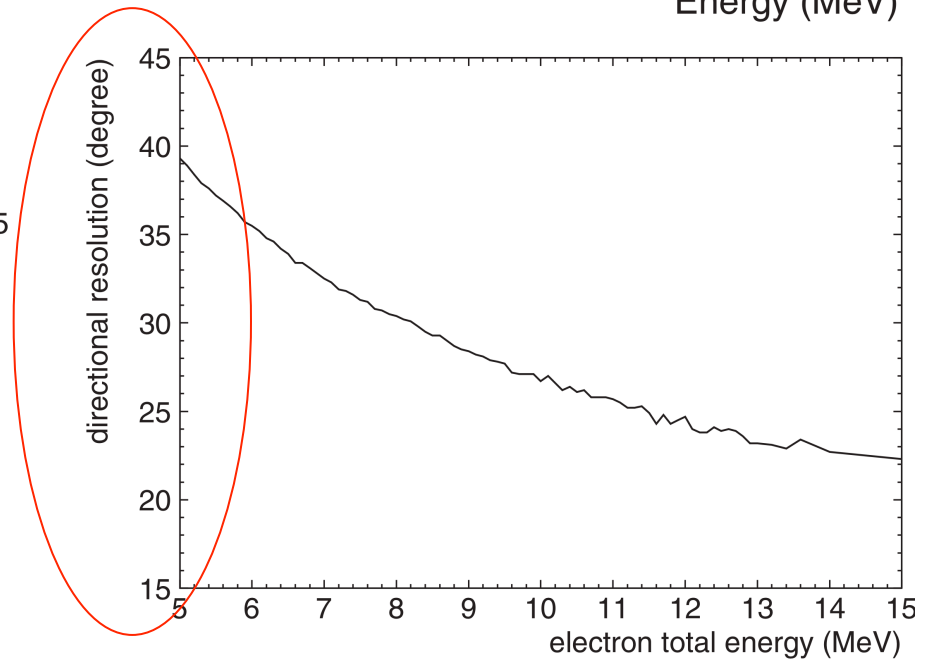
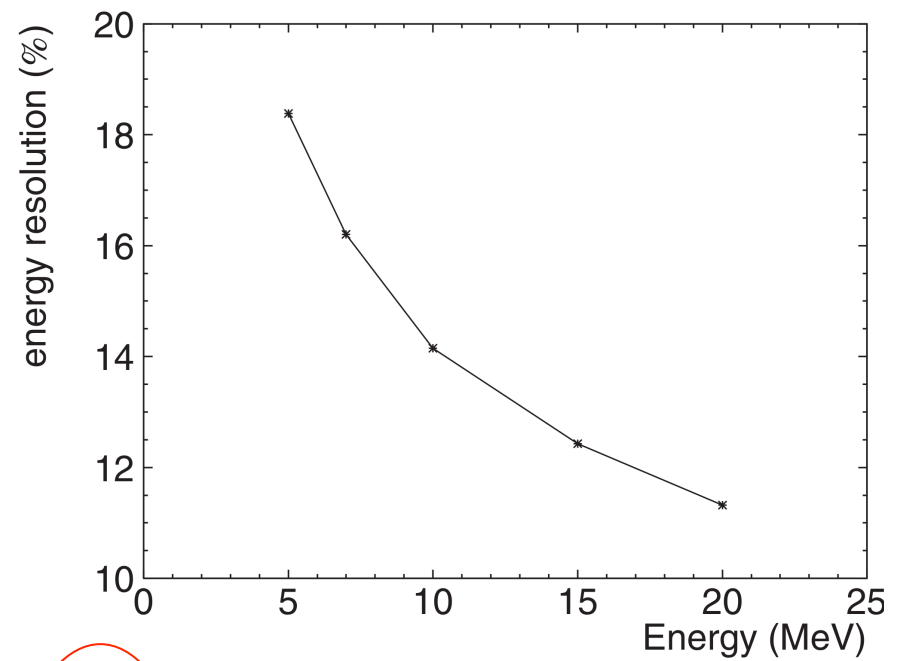
- Timing information
 - ➔ vertex position
- Ring pattern
 - ➔ direction
- Number of hit PMTs
 - ➔ energy

~6hit / MeV
 (SK-I, III, IV)

Solar ν 's reconstruction by Super-Kamiokande

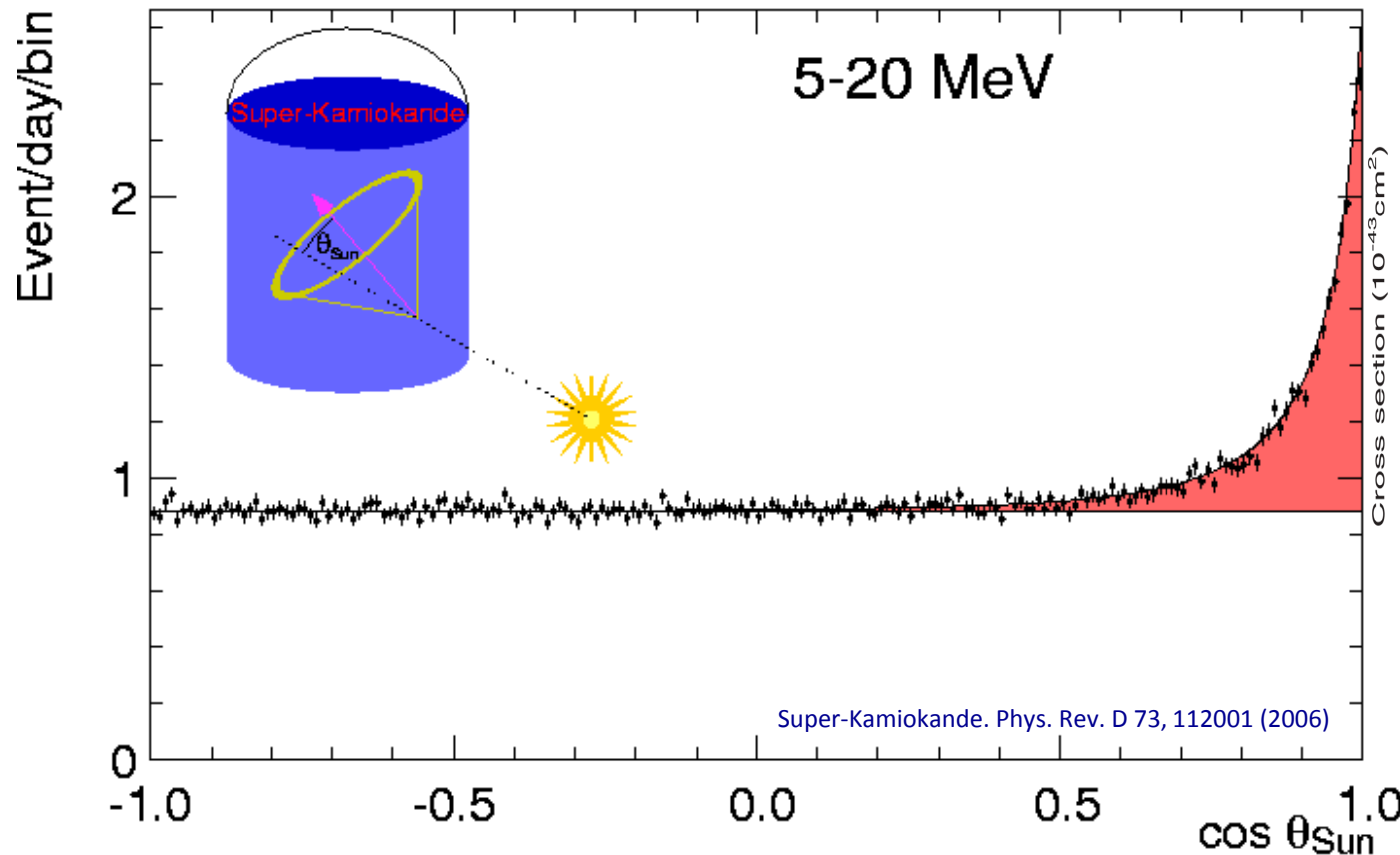


Super-Kamiokande. Phys. Rev. D 73, 112001 (2006)



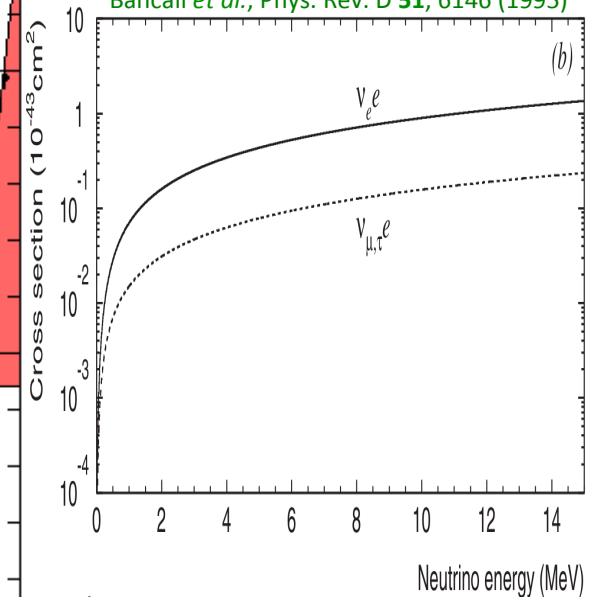
^8B solar ν flux by Super-Kamiokande

signal extracted from directional correlation of recoiling e^- with incident ν at $\nu - e^-$ scattering



Input: cross-section for $\nu - e^-$ elastic

Bahcall *et al.*, Phys. Rev. D **51**, 6146 (1995)



SK-I: 2.36 ± 0.02 (stat) ± 0.08 (sys) $\cdot 10^6 \text{ cm}^{-2} \text{ s}^{-1}$

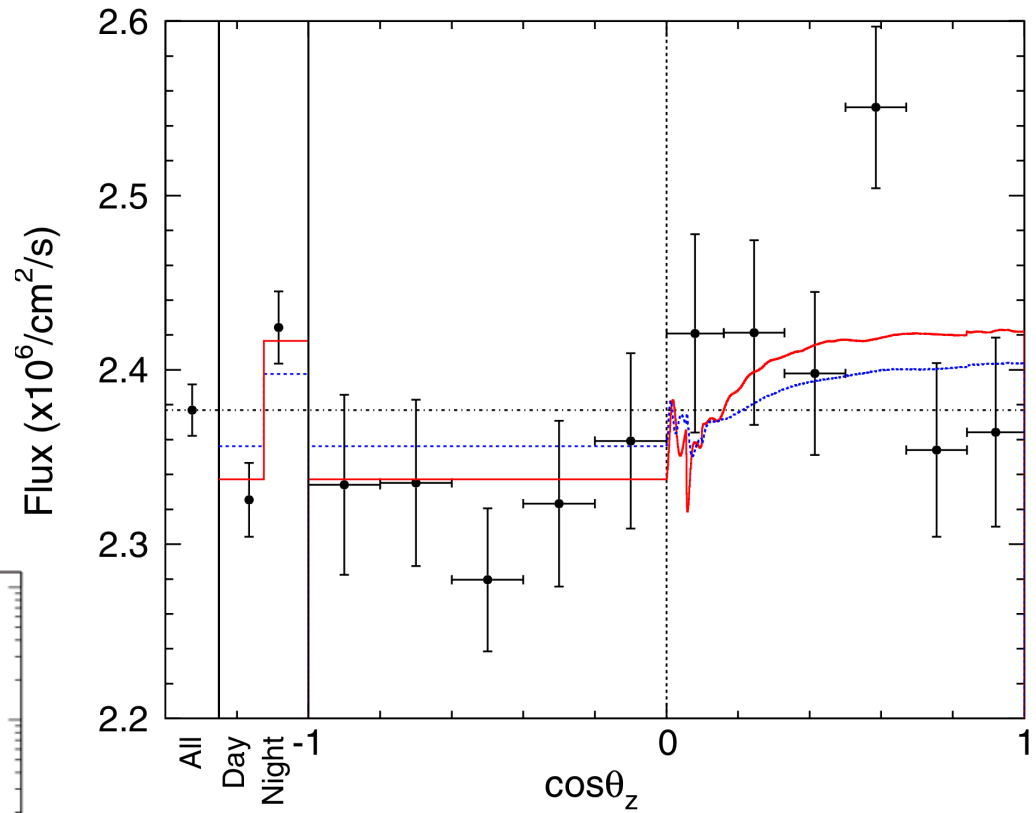
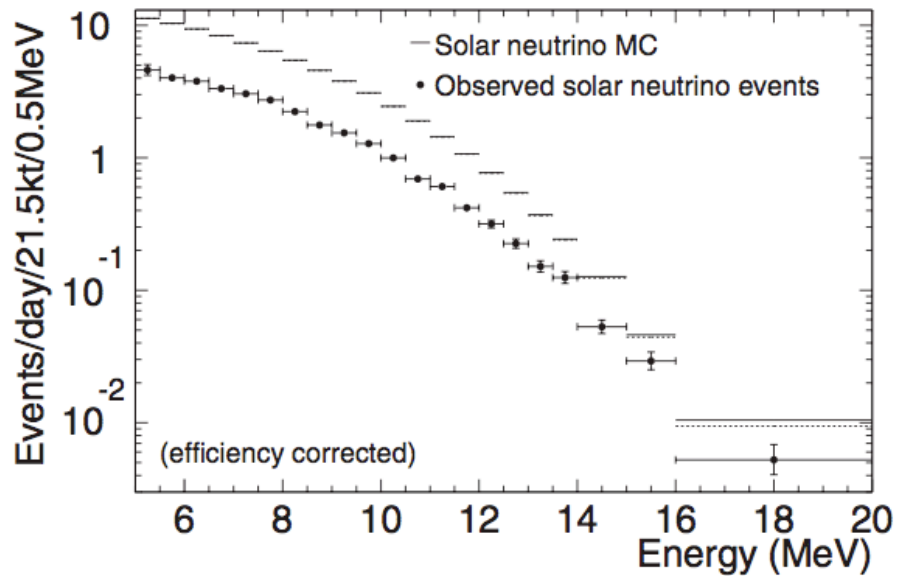
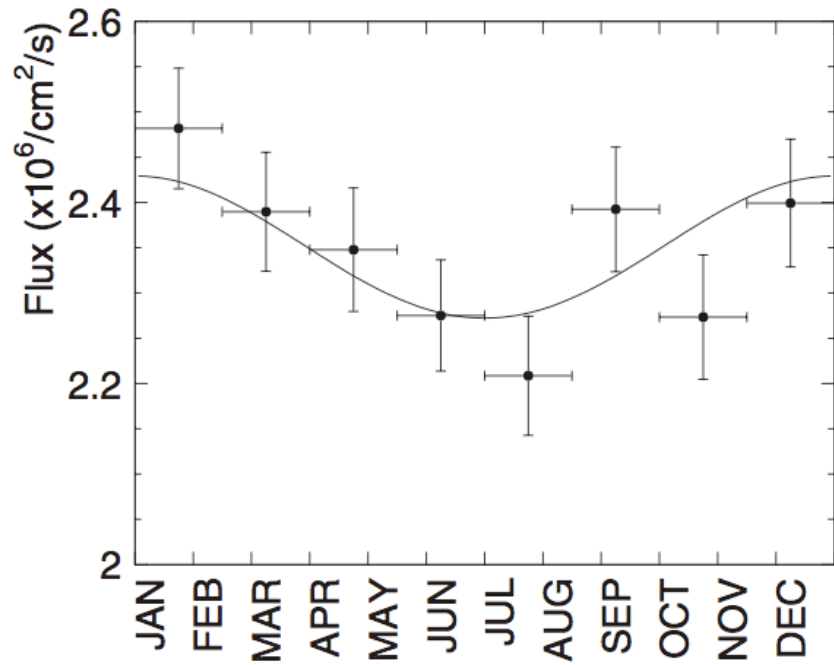
expected SSM: $5.79 \cdot 10^6 \text{ cm}^{-2} \text{ s}^{-1}$

Bahcall, Pinsonneault; Phys. Rev. Lett. **92**, 121302 (2004)

\rightarrow Where are the missing of ν_e from the Sun ? Is SSM wrong ?

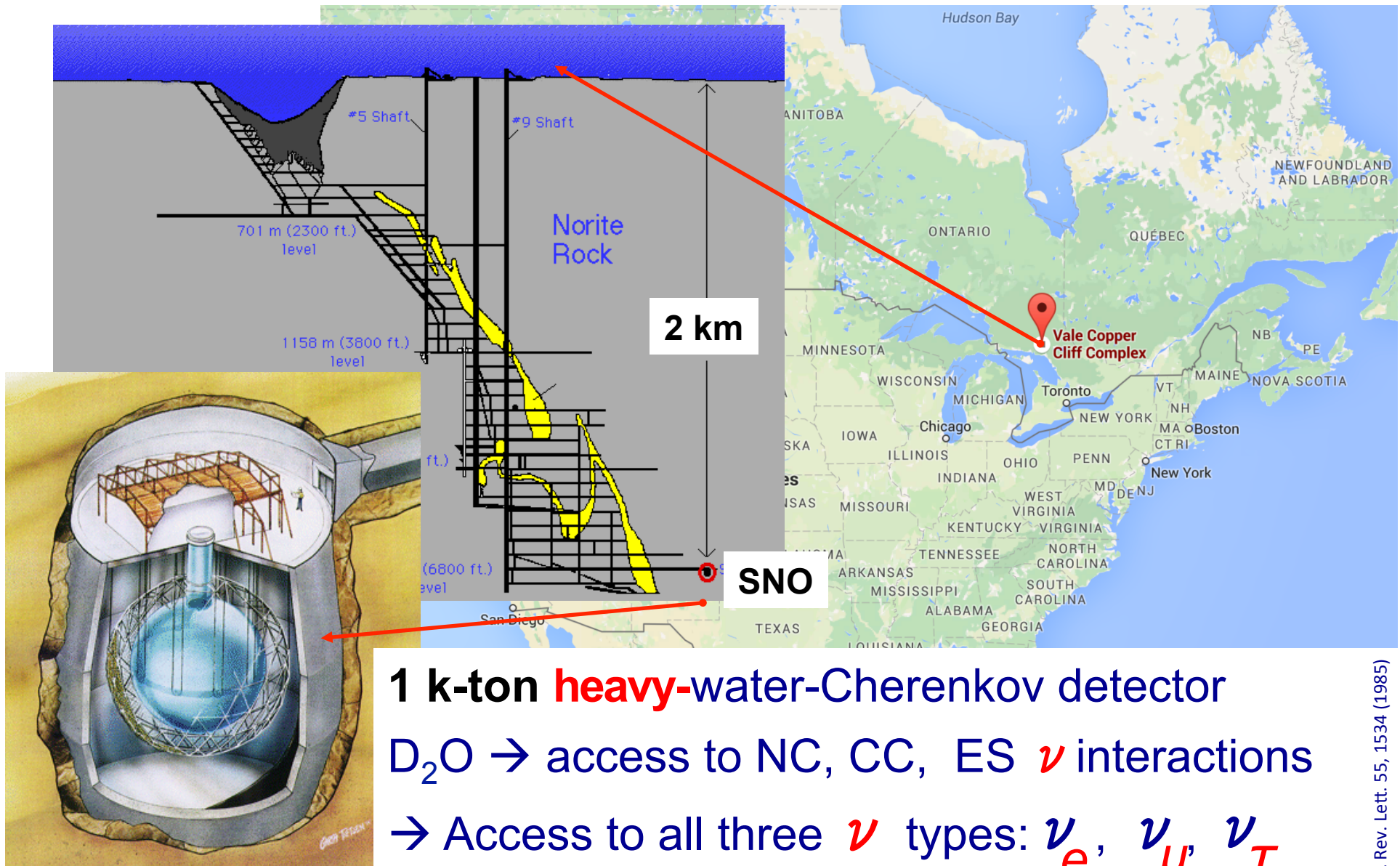
^8B solar ν flux by Super-Kamiokande; some other relevant results

PHYSICAL REVIEW D **73**, 112001 (2006)



Super-Kamiokande. Phys. Rev. Lett. 112, 091805 (2014)

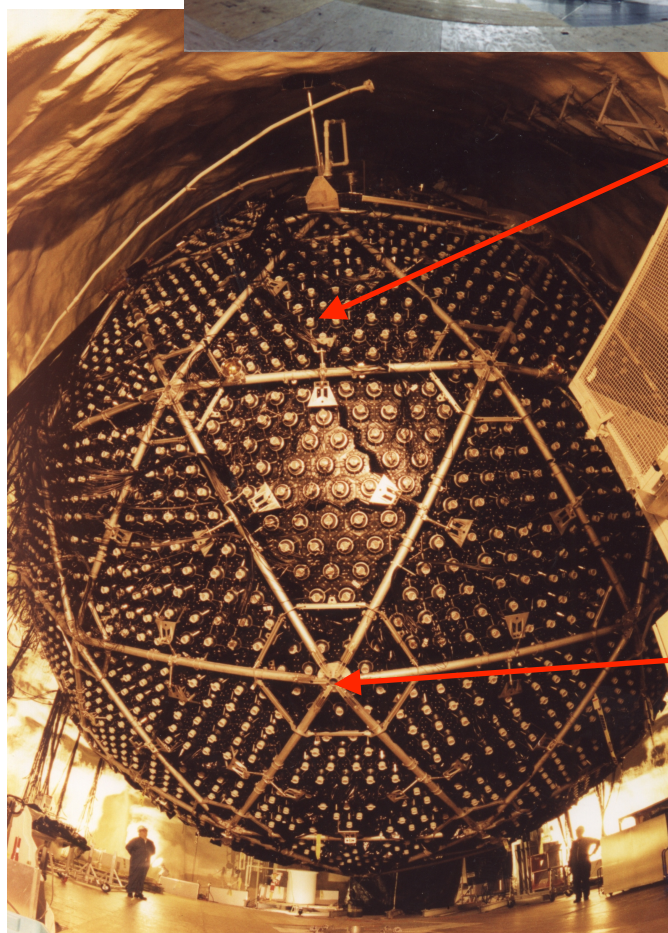
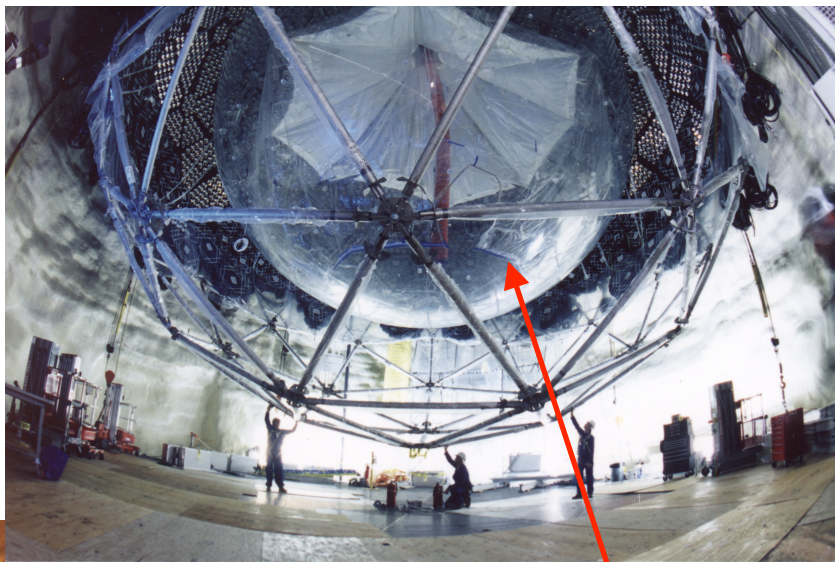
Sudbury Neutrino Observatory



1 k-ton heavy-water-Cherenkov detector
 $D_2O \rightarrow$ access to NC, CC, ES ν interactions
 \rightarrow Access to all three ν types: ν_e , ν_μ , ν_τ

\rightarrow Access to the whole ν flux from the sun

SNO



9500 PMTs

12m ϕ Acrylic Vessel

structure for PMTs

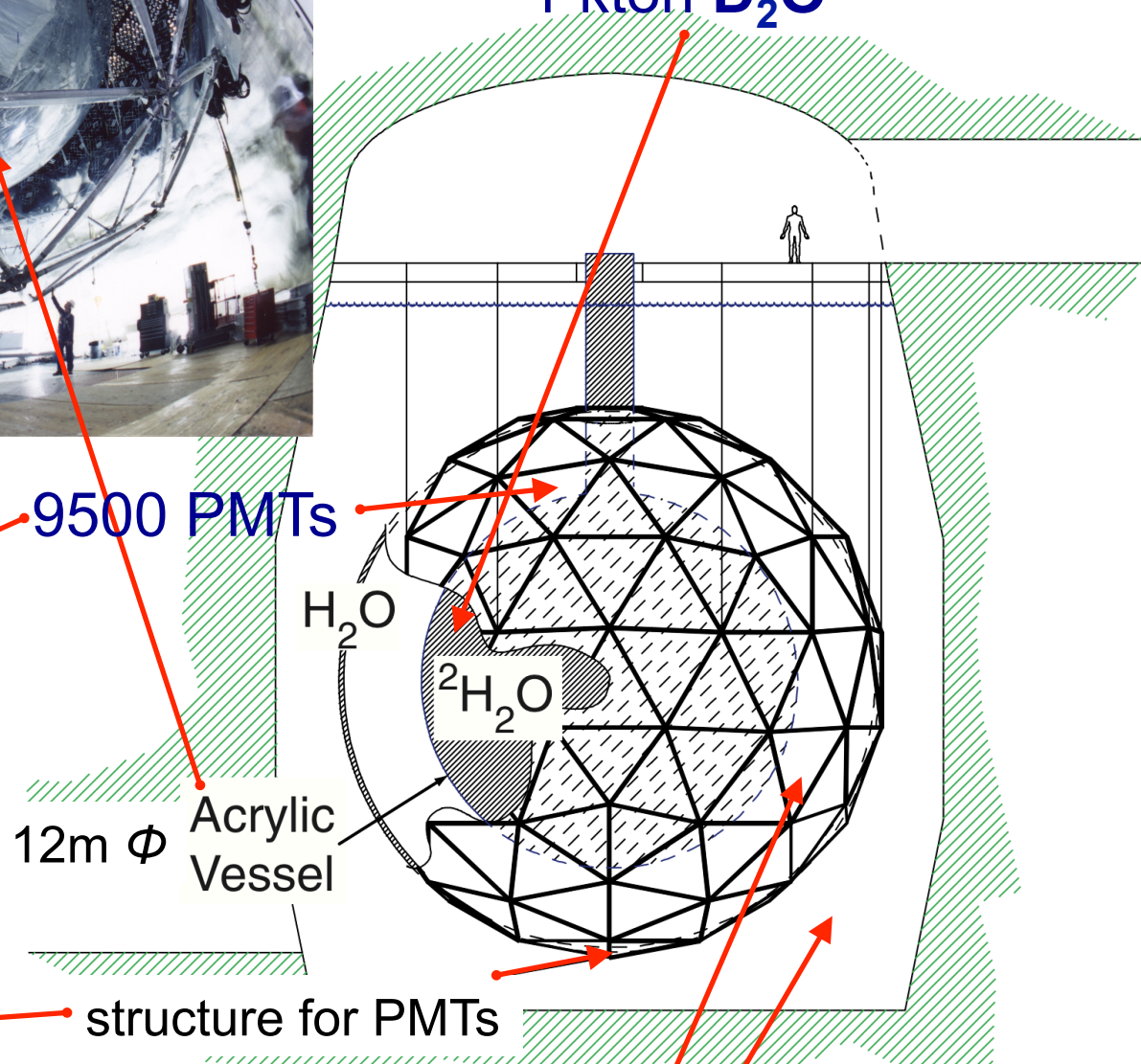
1 kton D_2O

H_2O

2H_2O

1.7 kton inner shielding H_2O

5.3 kton outer shielding H_2O



Main reactions at SNO

Elastic Scattering



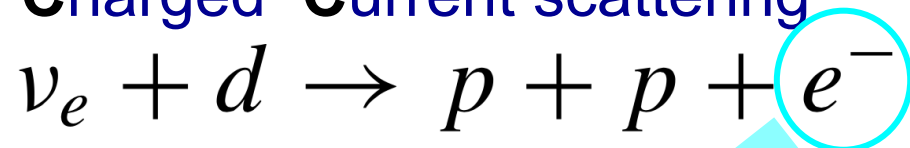
sensitive to ν_e, ν_μ, ν_τ

but ν_μ, ν_τ suppressed by $\sim 1/6$,

similar as in Super-Kamiokande

Cerenkov ring; directionality

Charged Current scattering

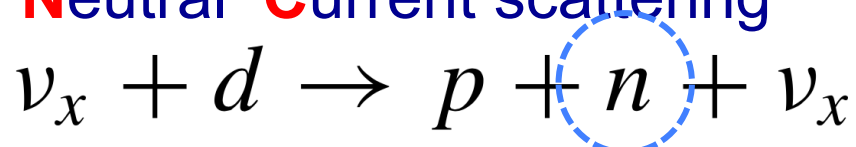


sensitive **only** to ν_e

(for solar ν energies)

Cerenkov ring; energy information

Neutral Current scattering



neutron capture:



sensitive to **all three** ν_e, ν_μ, ν_τ

with $E[\nu_x] > 2.2 \text{ MeV}$ (binding E.)

Cerenkov ring; just event counting

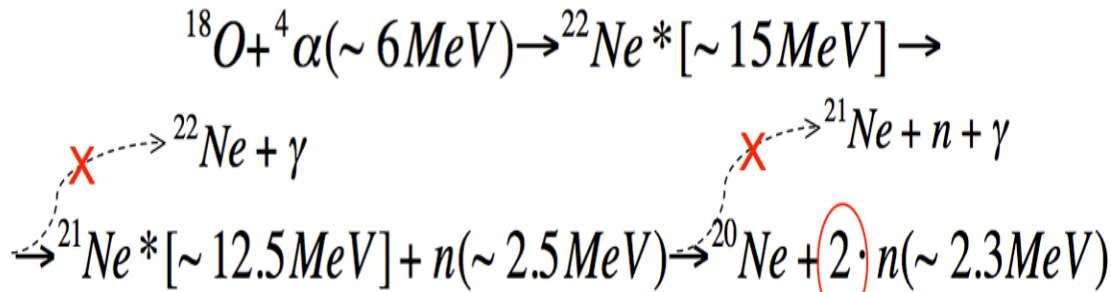
A very severe problem for NC is *background neutrons*

irreducible background
there are many naturally produced

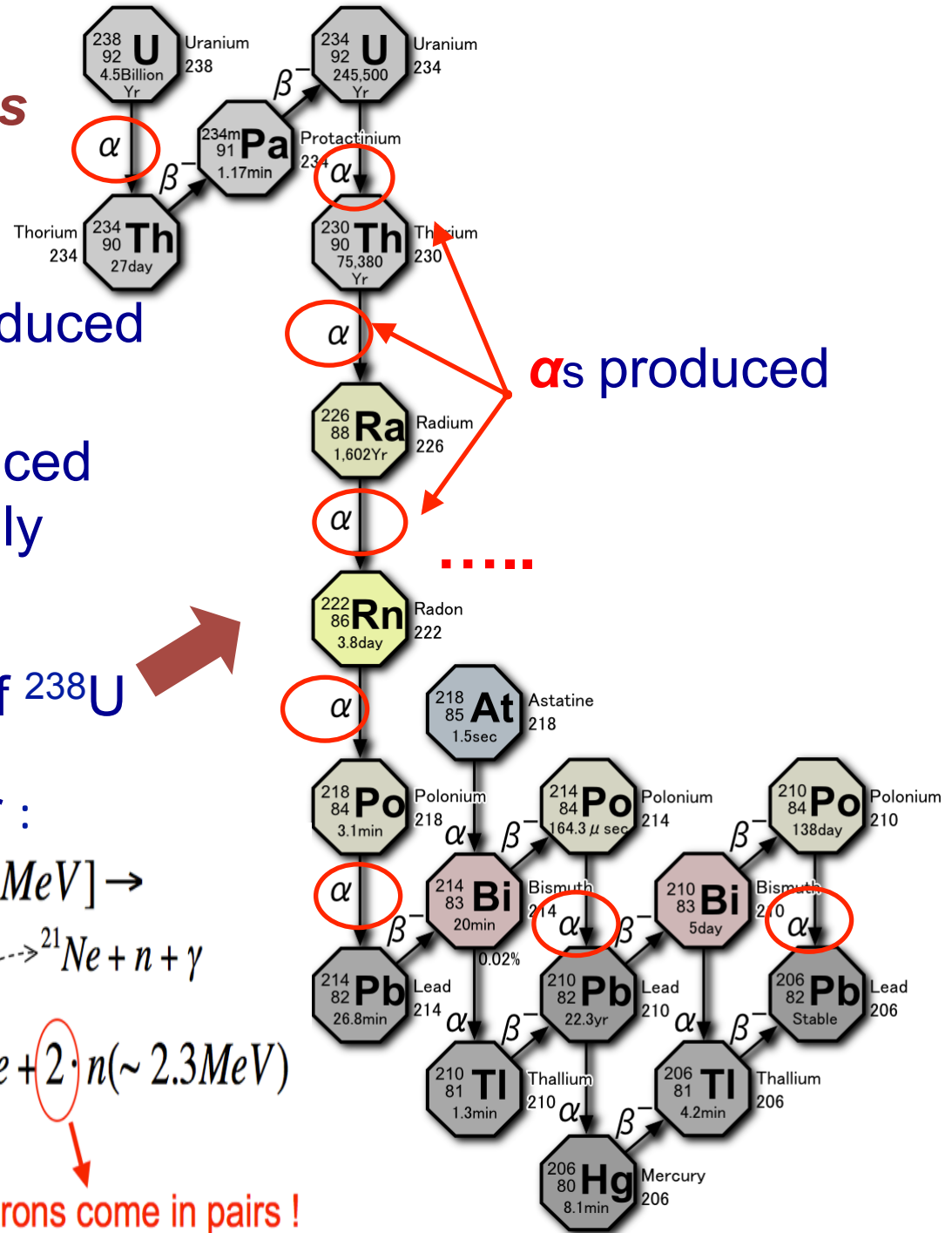
for instance neutrons produced from α decays in the naturally present radioactive chains

for instance that of ^{238}U

the α s interact with the water :



neutrons come in pairs !



A very severe problem for NC is **background neutrons**

irreducible background →

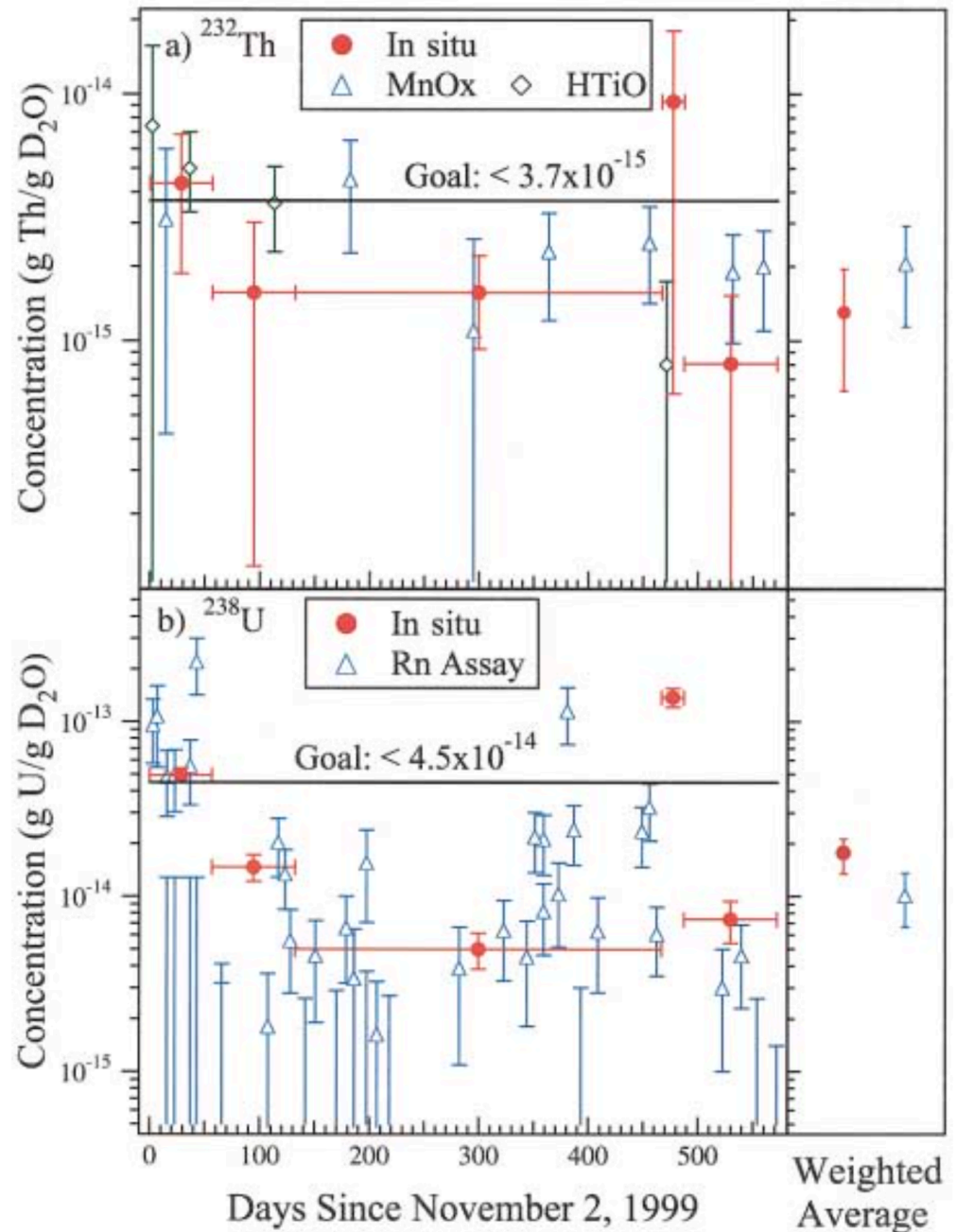
a) **minimize** to the maximum

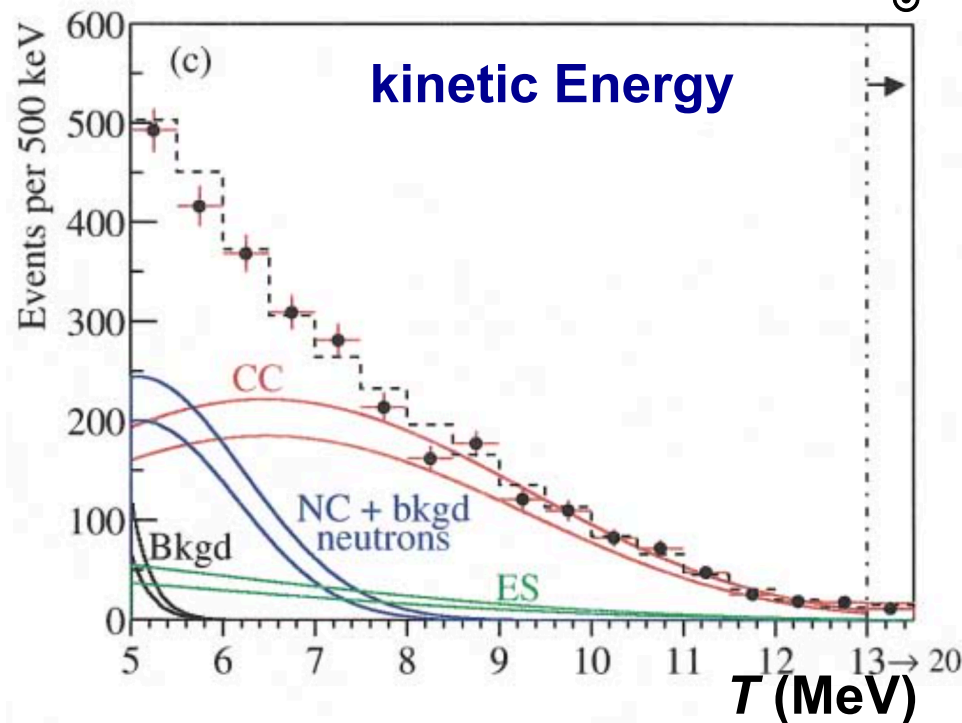
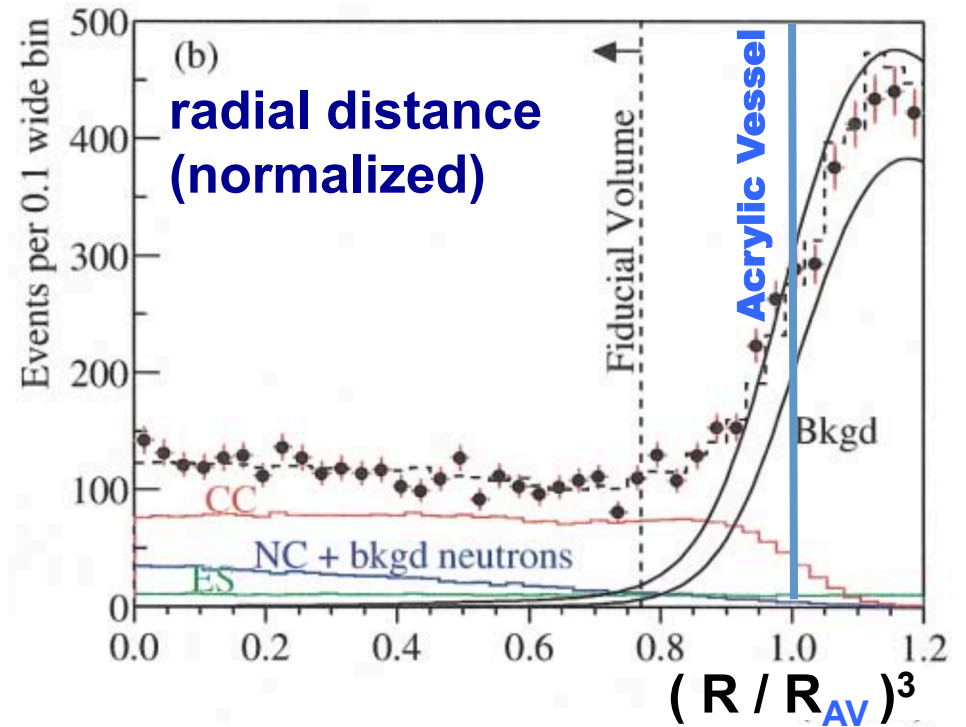
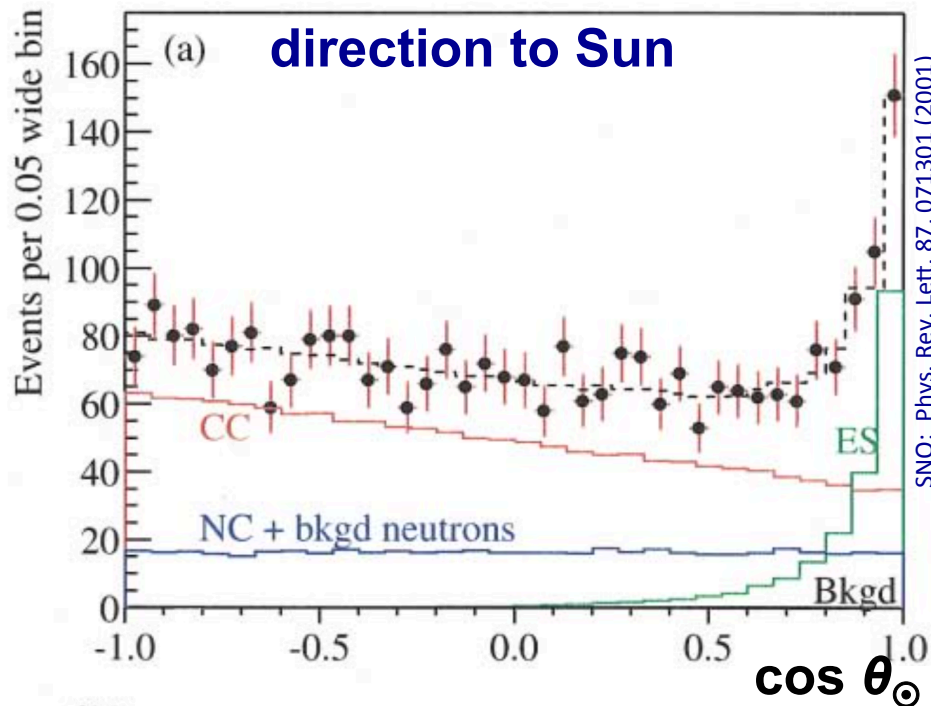
- purest D₂O
- acrylic vessel to isolate D₂O from external contamination

b) **quantify** to the highest precision:

- permanent monitoring by
- 2 ex-Situ radioactivity cont. meas. systems
- 1 in-situ technique

SNO; Phys. Rev. Lett. 89, 011301 (2002)

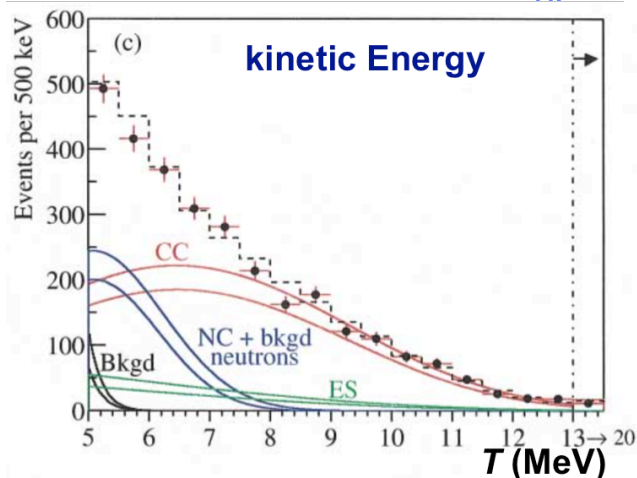
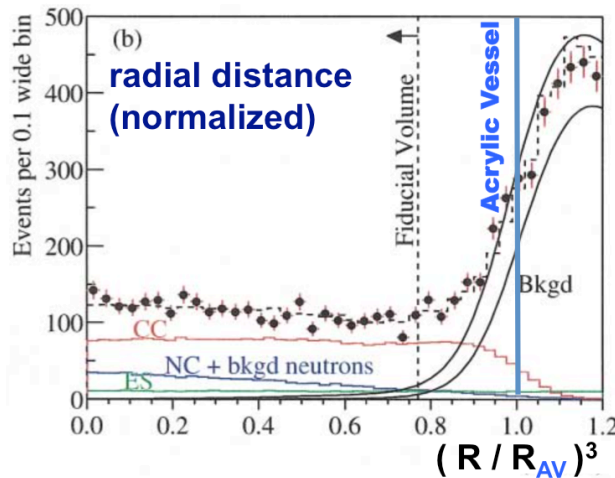
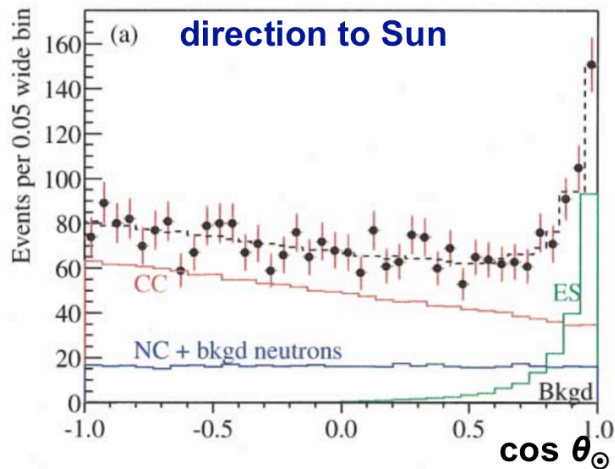




Fit measured flux to

$$\begin{aligned} & \phi_{CC} [\cos \theta_{\odot}, (R / R_{AV})^3, T] + \\ & \phi_{ES} [\cos \theta_{\odot}, (R / R_{AV})^3, T] + \\ & \phi_{NC} [\cos \theta_{\odot}, (R / R_{AV})^3, T] + \\ & \text{Bkgd} [\cos \theta_{\odot}, (R / R_{AV})^3, T] \end{aligned}$$

using MC generated *pdfs*
assuming no flavor transformation
and ${}^8\text{B}$ spectral shape



Results: $[\cdot 10^6 \text{ cm}^{-2} \text{ s}^{-1}]$

$$\phi_{\text{CC}}^{\text{SNO}} = 1.76_{-0.05}^{+0.06}(\text{stat})_{-0.09}^{+0.09}(\text{syst}),$$

$$\phi_{\text{ES}}^{\text{SNO}} = 2.39_{-0.23}^{+0.24}(\text{stat})_{-0.12}^{+0.12}(\text{syst}),$$

$$\phi_{\text{NC}}^{\text{SNO}} = 5.09_{-0.43}^{+0.44}(\text{stat})_{-0.43}^{+0.46}(\text{syst}).$$

SK-I [ES]: 2.36 ± 0.02 (stat) ± 0.08 (sys) ✓

expected SSM: $5.75 \cdot 10^6 \text{ cm}^{-2} \text{ s}^{-1}$
Bahcall, Pinsonneault; Phys. Rev. Lett. 92, 121302 (2004)

SNO; Phys. Rev. Lett. 87, 071301 (2001)

SNO; Phys. Rev. Lett. 89, 011301 (2002)

→ There is no deficit of ν_e from the Sun w.r.t. the SSM, but they have **oscillated** to ν_μ , ν_τ in their way to the Earth! ✓

Nobel 2015 ✓

ν_x fluxes are from a change of variables:

$$\phi_{\text{CC}}^{\text{SNO}}, \phi_{\text{ES}}^{\text{SNO}}, \phi_{\text{NC}}^{\text{SNO}} \rightarrow \phi_e, \phi_\mu, \phi_\tau$$

$$\phi_e = 1.76_{-0.05}^{+0.05}(\text{stat})_{-0.09}^{+0.09}(\text{syst})$$

$$\phi_{\mu\tau} = 3.41_{-0.45}^{+0.45}(\text{stat})_{-0.45}^{+0.48}(\text{syst})$$

Some final remarks

This is an enormous step forward in Science
... but certainly not the end

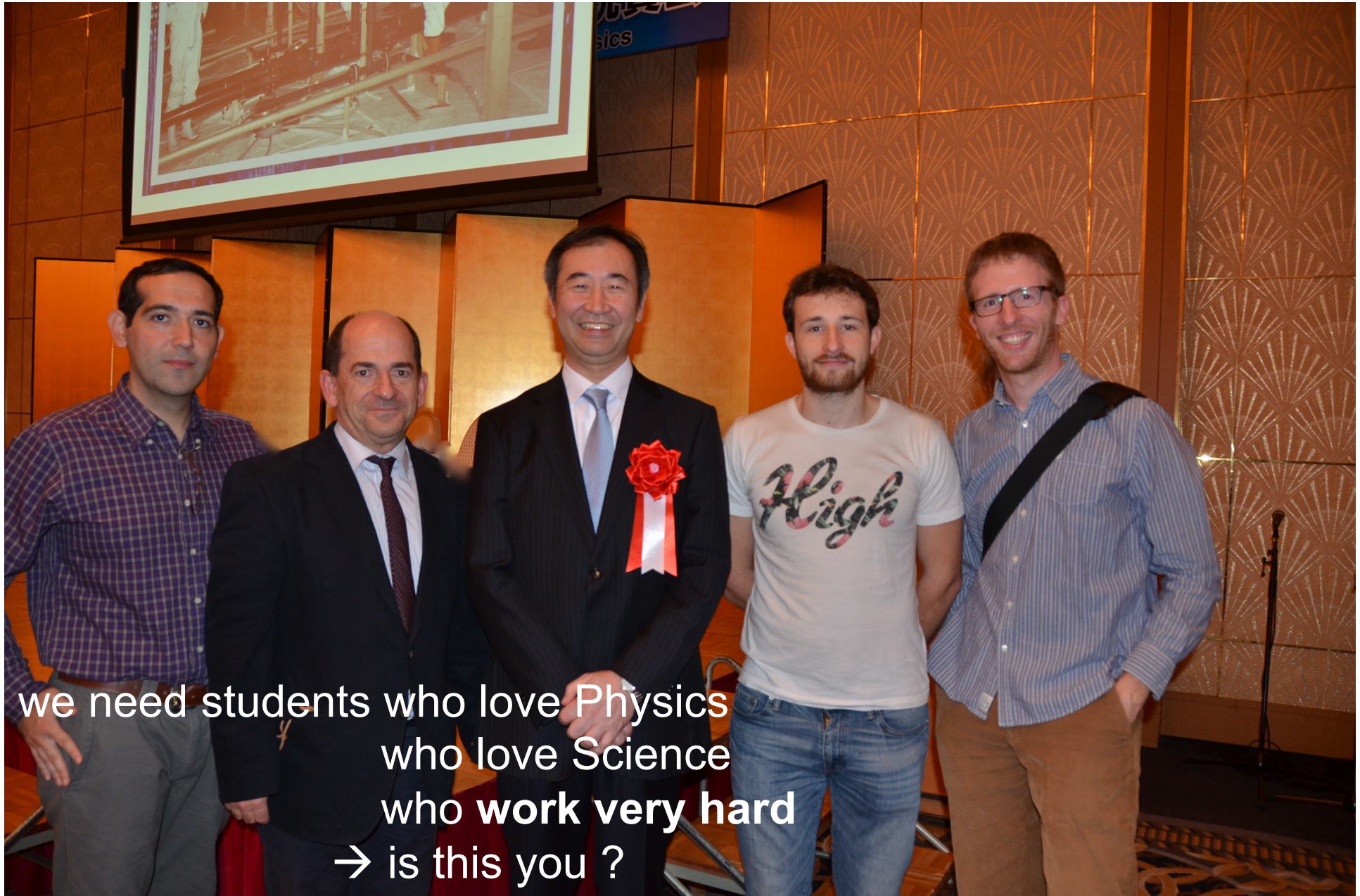
There is yet to discover / learn ... basically everything in our
process of understanding Nature

Some very important next related steps:

- CP violation in the leptonic sector
- Majorana / Dirac nature of neutrinos, sterile neutrinos
- Proton decay
- *High statistics/precision Neutrino astrophysics*

We (UAM) are very much involved in this research program:

- NEXT experiment at Canfranc Underground Lab.
- Super-Kamiokande at Kamioka Observatory
- Super-Kamiokande-Gadolinium
- Hyper-Kamiokande ($\sim 20 \times$ SK) at Kamioka Observatory



we need students who love Physics
who love Science
who **work very hard**
→ is this you ?