

# On the DM annual modulation signal

(in collaboration with Thomas Schwetz and Jure Zupan)

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# Introduction and goal

- A very distinctive signature of DM is its annual modulation.
- DAMA reports a significance of  $8.9\sigma$  and CoGeNT of  $2.8\sigma$ .
- Typical ratio modulation over constant rate:

→  $\sim 0.02$  (DAMA),  $\sim 0.1 - 0.3$  (CoGENT).

- Assuming the observed modulated signal is DM and with very mild assumptions about the halo, we establish a consistency check between the *modulated* rate and the *constant* rate, that must be fulfilled within an experiment.
- We also treat the case of an unknown background that contributes *only* to the constant rate (*NOT modulated*).



# Direct detection: event rate

- Differential event rate (# counts/ keV/ kg/ day):

$$R(E_r, t) = \frac{\rho_\chi}{m_\chi m_A} \int_{v_m} d^3 v \frac{d\sigma_\chi}{dE_r} v f_{det}(\vec{v}, t)$$

where  $v_m = \sqrt{m_A E_r / (2\mu_{\chi A}^2)}$  and the velocity distribution fulfills:

$$f_{det}(\vec{v}, t) \geq 0 \quad \text{and} \quad \int d^3 v f_{det}(\vec{v}, t) = 1$$

- For SI we have that

$$R(E_r, t) \equiv C F^2(E_r) \eta(v_m, t) \quad \text{with} \quad C \equiv \frac{\rho_\chi \sigma_A^0}{2m_\chi \mu_{\chi A}^2}$$

and with the velocity integral defined by:

$$\eta(v_m, t) \equiv \int_{v_m} d^3 v \frac{f_{det}(\vec{v}, t)}{v}$$

# Relating the modulation with the rate

- For typical recoil energies  $E_r \sim 10$  KeV, and for Na, I, Ge,  $v_m \gg v_e$ , so we expand  $\eta(v_m, t)$  to first order in  $v_e/v \ll 1$ .
- So the total rate can be divided into a time-ind. and a time-dep. part:

$$\begin{aligned} R(E_r, t) &\equiv \bar{R}(E_r) + \delta R(E_r, t) \equiv \\ &\equiv C F^2(E_r) \eta(v_m, t) \equiv \\ &\equiv C F^2(E_r) [\bar{\eta}(v_m) + A_\eta(v_m) \cos 2\pi(t - t_0)] \end{aligned}$$

- We derive a relation between  $A_\eta$  and  $\bar{\eta}$ , and we translate it into observable quantities  $A_R$  and  $\bar{R}$ , with:

$$\bar{R} \equiv C F^2(E_r) \bar{\eta}(v_m) \quad \text{and} \quad A_R \equiv C F^2(E_r) A_\eta$$

# The general bound

- 1 Smooth halo: spikes in  $v < 30$  km/s may not be covered.
- 2 Only time dependence comes from  $v_e(t)$ . No explicit time dependence in  $f_{Sun}$  (no change on time-scales of months).
- 3 DM halo spatially constant at scale Sun-Earth.

$$A_\eta(v_m) \leq v_e \left[ -\frac{d\bar{\eta}}{dv_m} + \frac{\bar{\eta}(v_m)}{v_m} - \int_{v_m} dv \frac{\bar{\eta}(v)}{v^2} \right]$$

- It allows an arbitrary halo structure, including several streams from different directions.

# Symmetric bounds

- 1 There is some preferred constant direction  $\hat{v}_{HALO}$  (independent of  $v_m$ ) governing the shape of the DM velocity distribution in the Sun's rest frame.

$$\int_{v_{m1}}^{v_{m2}} dv_m A_\eta(v_m) \leq v_e \left[ \bar{\eta}(v_{m1}) - v_{m1} \int_{v_{m1}} dv \frac{\bar{\eta}(v)}{v^2} \right]$$

- It is fulfilled for isotropic halos (Maxwellian), tri-axial ones (up to peculiar velocity), streams parallel to the motion of the Sun like a dark disc co-rotating with the stellar one...
- In general, natural cases like the above ones have  $\hat{v}_{HALO}$  aligned with  $\hat{v}_{SUN}$ . In this case we get:

$$\int_{v_{m1}}^{v_{m2}} dv_m A_\eta(v_m) \leq 0.5 v_e \left[ \bar{\eta}(v_{m1}) - v_{m1} \int_{v_{m1}} dv \frac{\bar{\eta}(v)}{v^2} \right]$$

# Applying the bounds to real data

- Experimental data is binned: We have to average over each bin and convert integrals to sums... etc.
- For bin  $i$ , bounds look like  $A_i \leq v_e (R_i \alpha_i - R_{i+1} \alpha_{i+1} \dots) \equiv B_i$ .
- They vary depending on whether we have single detector (Ge in CoGeNT) or multi-target (Na, I in DAMA).
- The dependence on  $\rho_\chi$ ,  $\sigma_\chi$ ,  $v_{esc}$  drops from the bounds.
- They are valid for SI and SD (we only analyze SI).
- They depend on  $m_\chi$ ,  $Q(E_r)$  and  $F^2(E_r)$ .  $m_\chi$  appears when translating  $v_m$  to  $E_e$  ( $E_e = Q(E_r)E_r$ ).



# Methods to study the consistency between $M$ and $R$

Conservative approach: only a fraction  $\omega_i$  ( $0 \leq \omega_i \leq 1$ ) of  $R_i$  is due to DM, the rest being an unknown background. Assume NO modulated background.

- **Method 1.** Drop the negative term and set  $\omega_i = 1$ . No background present.
- **Method 2.** Build a “Chi square”:

$$\Delta X^2 = \sum_i^N \left( \frac{A_i - B_i}{\sigma_i^A} \right)^2 \Theta(A_i - B_i)$$

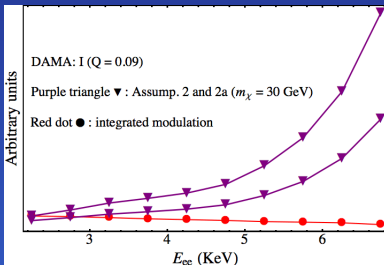
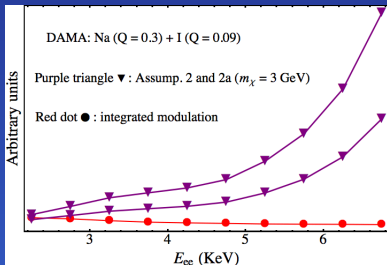
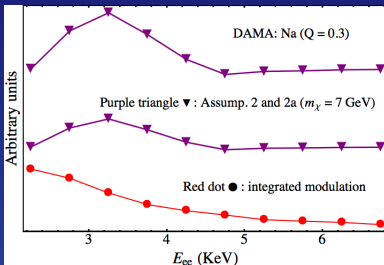
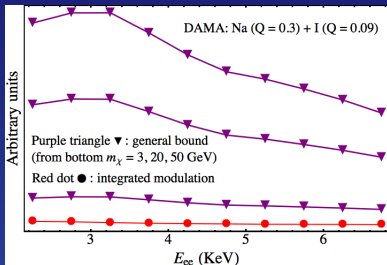
and minimize w.r.t the  $\omega_j$ .

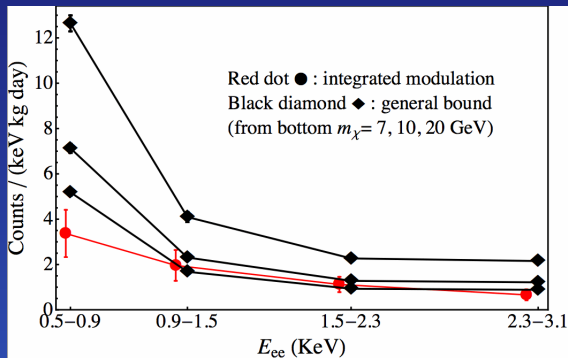
There is only a contribution to it when the bound is violated.

Approximately Chi-square distributed with 1 d.o.f.,  $m_\chi$ .

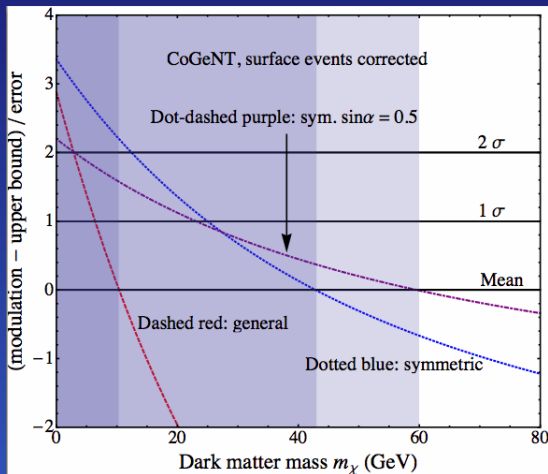
- **Method 3.**

- 1 For each  $m_X$ , compute the less constraining set of  $\omega_j$  by minimizing the  $X^2$ .
- 2 With this set of  $\omega_j$ , suppose the bound is saturated (conservative) and simulate pseudo-data (for the modulation) taking the upper bounds (r.h.s.) as the mean value for a Gaussian, with  $\sigma_j =$  error of the true  $A_j$ .
- 3 For each random data set, calculate the  $X^2$  value and obtain its distribution.
- 4 Compare it with the  $X_{obs}^2$  of the real data and calculate the probability of obtaining a  $X^2 > X_{obs}^2$ .

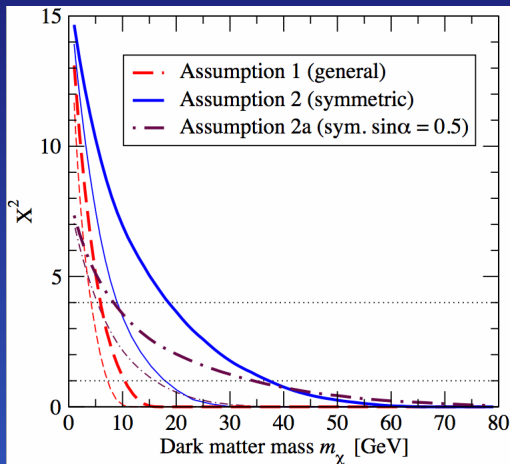




# CoGeNT (with surface events subtracted)

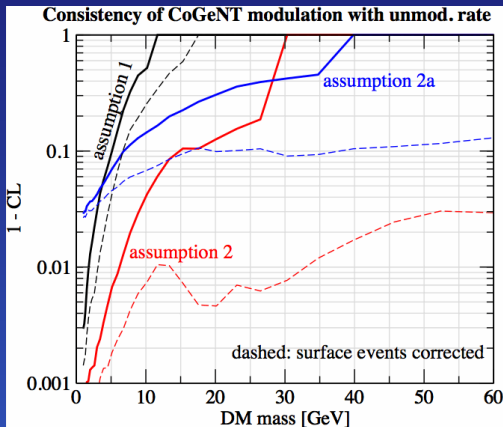


# $\chi^2$ method (with/without surface events subtracted)



# Montecarlo bounds

Probability to obtain  $\chi^2 > \chi^2_{obs} \equiv P_{bound \text{ is fulfilled}}$  :



If preliminary surface events are confirmed, under ass. 2 and 2a data is inconsistent with DM at the 97% and 90% C.L. resp.

# Conclusions

- We have derived a consistency check between the modulation and the constant rate, under very mild assumptions about the DM halo.
- A violation of it suggests a non-DM origin or exotic properties which should have other striking signatures.
- We have applied it to DAMA and CoGeNT (elastic, SI):
  - 1 DAMA is consistent.
  - 2 Very strong tensions exist for CoGENT, with typical DM haloes excluded at  $> 90\%$  C.L.





- Local DM density:

$$\rho_\chi = n_\chi m_\chi \approx 0.3 \text{ GeV/cm}^3$$

- Flux (# particles/ area/ time):

$$\phi_\chi = n_\chi v = \left( \frac{100 \text{ GeV}}{m_\chi} \right) 10^5 \text{ cm}^{-2} \text{ s}^{-1}$$

- Rate (# counts/ time):

$$R = \phi_\chi \sigma_\chi N_{\text{target}} = \frac{\rho_\chi v}{m_\chi} \cdot \sigma_\chi \cdot \frac{\text{target mass}}{m_A}$$

- By kinematics there is a minimum velocity (elastic scatt.):

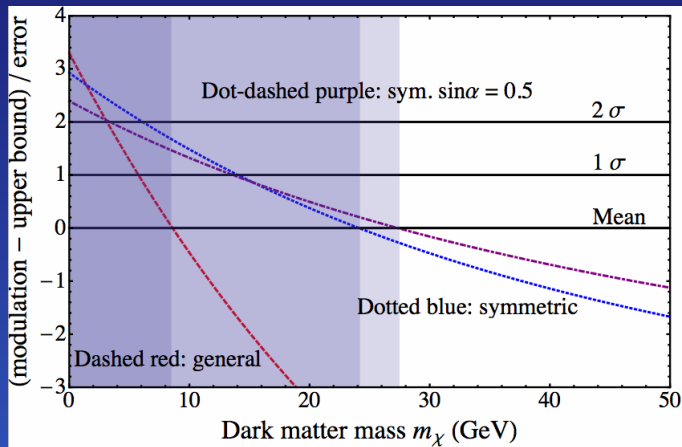
$$v_m = \sqrt{\frac{m_A E_r}{2\mu_{\chi A}^2}}$$

# Expansion of $\eta(v_m, t)$

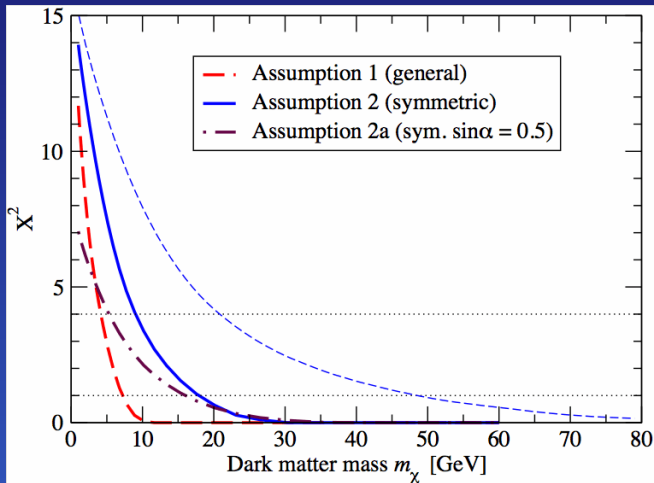
$$\begin{aligned}\eta(v_m, t) &= \int_{v_m} d^3 v \frac{f_{det}(\vec{v})}{v} = \\ &= \int_{v_m} d^3 v \frac{f_{Sun}(\vec{v})}{v} + \\ &+ \int d^3 v f_{Sun}(\vec{v}) \frac{\vec{v} \cdot \vec{v}_e(t)}{v^3} [\Theta(v - v_m) - \delta(v - v_m) v_m] \equiv \\ &\equiv \bar{\eta}(v_m) + A_\eta(v_m) \cos 2\pi(t - t_0)\end{aligned}$$

- $v_e(t) \propto v_e \cos 2\pi(t - t_0)$ , so the first terms is just the constant part  $\bar{\eta}(v_m)$  and the second one is the modulated part  $A_\eta(v_m) \cos 2\pi(t - t_0)$ .

# CoGENT (without subtraction of surface events)



# Chi square minimization



# CoGENT bounds on the DM mass

	Proc. 1	Proc. 1	Proc. 2	Proc. 2
Mean mass (GeV)	Normal	Surface	Normal	Surface
General bound	8.5	10	7.3	10
Symmetric bound	24	43	18	37
Sym. $\alpha = \pi/6$	27.5	59.5	16	35

- **Method 4.** For each bin  $i$ , the inequality depends only on  $\omega_j$ , with  $j \geq i$ . The most conservative option is to have  $\omega_j$  ( $\omega_j$  with  $j > i$ ) as large (small) as possible.

Iterative prescription to find the set of  $\omega_j$  corresponding to the most conservative choice of background:

- 1 Saturate the bounds ( $\leq \rightarrow =$ ). System of  $N$  (# bins) linear equations in  $\omega_j$ .
- 2 Starting with the highest bin  $j = N$ , solve for the  $\omega_N$  that saturates the bound. If  $\omega_N \leq 1$ , it will be the smallest allowed value, so the bound for  $N - 1$  will be the weakest. If  $\omega_N \geq 1$ , it is violated & we set it to one.
- 3 Then go to the bin  $j = N - 1$  with that value of  $\omega_N$  and look for the  $\omega_{N-1}$  that saturates the bound, and so on...

# Iterative method bounds

	Proc. 4	Proc. 4
Mean mass (GeV)	Normal	Surface
General bound	10	12.5
Symmetric bound	29.5	63
Sym. $\alpha = \pi/6$	37.5	94.5