

# The Potential of Minimal Flavour Violation

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*based on the work with Belén Gavela,  
Luca Merlo , Stefano Rigolin & Daniel  
Hernández JHEP **1107**, 012 (2011).  
arXiv:1103.2915.*



# Outline

## Introduction

- The Flavour Puzzle
- Minimal Flavour Violation

## The Dynamics Behind MFV

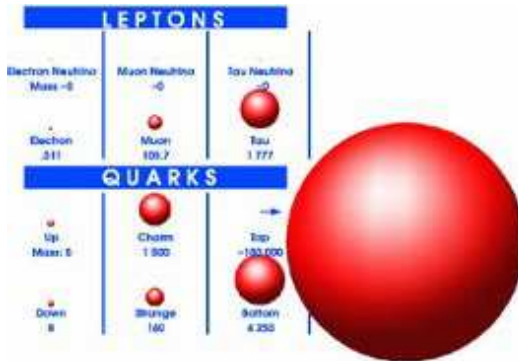
- Quarks
- Leptons

## Summary



# The Three Generations

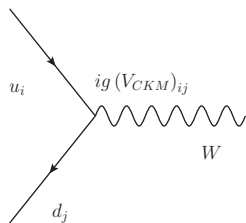
How do we tell one generation from the other?



A **wildly hierarchical** mass spectrum

# The Three Generations

How do they connect with each other?



$$V_{CKM} = \begin{pmatrix} \sim 1 & \lambda & \lambda^3 \\ \lambda & \sim 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & \sim 1 \end{pmatrix} \quad U_{PMNS} = \begin{pmatrix} 0.8 & 0.5 & ?0.2 \\ -0.4 & 0.5 & -0.7 \\ -0.4 & 0.5 & 0.7 \end{pmatrix}$$

# The Flavour Puzzle

The flavour structure is encoded in the Yukawa couplings in the SM

$$\mathcal{L}_{SM} = \mathcal{L}_{gauge} + \mathcal{L}_{Higgs} + \mathcal{L}_{Yukawa}$$

$$-\mathcal{L}_{Yukawa} = \bar{Q}_L \mathbf{Y}_U U_R \tilde{H} + \bar{Q}_L \mathbf{Y}_D D_R H + \bar{\ell}_L \mathbf{Y}_E E_R H + h.c. + (\nu \text{ mass})$$

That can be parametrized as

$$Y_U = V_{CKM}^\dagger \mathbf{y}_U \quad Y_D = \mathbf{y}_D \quad Y_E = \mathbf{y}_E$$

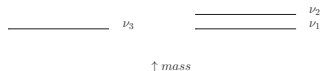
$$\& m_{\nu_1}, m_{\nu_2}, m_{\nu_3}$$

$$\mathbf{y}_U \sim \text{Diag}(m_u, m_c, m_t)$$

$$\mathbf{y}_D \sim \text{Diag}(m_d, m_s, m_b)$$

$$\mathbf{y}_E \sim \text{Diag}(m_e, m_\mu, m_\tau)$$

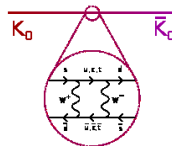
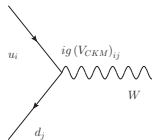
Normal or Inverted hierarchy



# Flavour Physics

The Flavour Phenomenology of the SM is very specific

- ▶ There are **no Flavour Changing Neutral Currents** at tree level in the **SM**
- ▶ FCNC processes occur at the loop level and **GIM** suppressed
- ▶ CP violation 'small'; Jarlskog  $\text{Inv.} \times \text{GIM}$  in SM

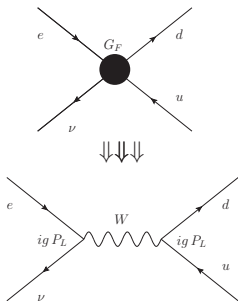


→ **Sensitivity** to new physics

# Beyond the Standard Model; Effective Field Theory

## Fermi's Theory of $\beta$ decay

$$\mathcal{L}_{em} + G_F \bar{e} \gamma_\mu \nu \bar{u} \gamma^\mu d$$



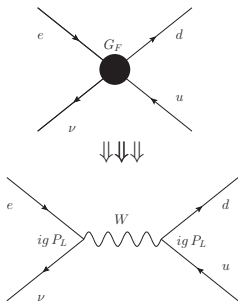
$$\mathcal{L}_{em} + (g^2/M_W^2) \bar{e} \gamma_\mu \nu_L \bar{u} \gamma^\mu d_L$$



# Beyond the Standard Model; Effective Field Theory

Fermi's Theory of  $\beta$  decay

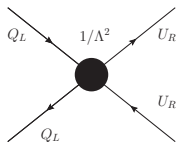
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BSM physics

$$\mathcal{L}_{SM} + \frac{1}{\Lambda} \mathcal{O}^{d=5} + \frac{1}{\Lambda^2} \mathcal{O}^{d=6} + \dots$$

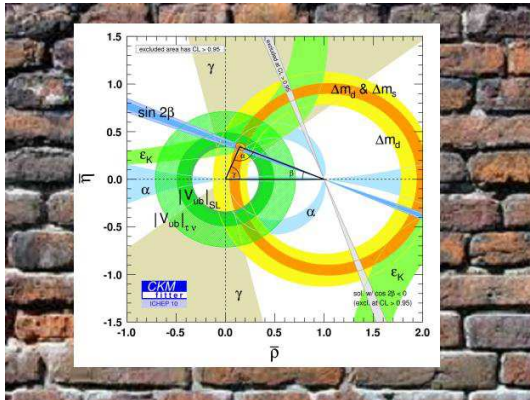


????

which is a valid description up to  $\Lambda$

# The Flavour Problem

All the flavour data is  $\sim$  consistent with the SM

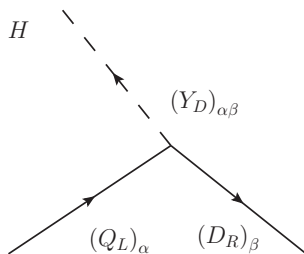


This translates into  $\Lambda > 10^{2-3} \text{ TeV}$

## Minimal Flavour Violation (MFV)

MFV is a **symmetry approach** to the flavour problem that takes the **experimental data at face value**.

The MFV hypothesis: *The Yukawa couplings are the **only** sources of flavour violation in and **beyond** the Standard Model*<sup>1</sup>.



<sup>1</sup>Georgi & Chivukula 1987; D'Ambrosio, Giudice, Isidori, & Strumia, 2002; Cirigliano, Grinstein, Isidori & Wise 2005.

## Minimal Flavour Violation; Realization

- ▶ Generations are distinguished by masses; in the limit of zero mass

$$\mathcal{L}_{SM} = \mathcal{L}_{gauge} + \mathcal{L}_{Higgs} + \mathcal{L}_{Yukawa}$$

the SM presents an extended **symmetry group** :

$$G_f = \overbrace{SU(3)_{Q_L} \times SU(3)_{D_R} \times SU(3)_{U_R}}^{\text{Quark}} \times \overbrace{SU(3)_{\ell_L} \times SU(3)_{E_R}}^{\text{Lepton}} \times \dots$$

$$D_R = \begin{pmatrix} d_R \\ s_R \\ b_R \end{pmatrix} \quad D_R \sim (1, 3, 1 \dots)$$

- ▶ The Yukawa couplings break the symmetry, unless

$$\bar{Q}_L Y_D D_R H$$

$$Y_D \sim (3, \bar{3}, 1) \quad \text{'SPURIONS'}$$

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## MFV: Effective Field Theory Prescription

Take the operator

$$\mathcal{L}_{SM} + \frac{c_{\alpha\beta}}{\Lambda_{NP}^2} \bar{Q}_\alpha \gamma^\mu Q_\beta \bar{E}_R \gamma_\mu E_R + \dots$$

containing all flavors  $\alpha, \beta$ , then:

$$\text{MFV} \rightarrow c_{\alpha\beta} = (Y_U)_{\alpha\gamma} \left( Y_U^\dagger \right)_{\gamma\beta} \simeq V_{t\alpha}^* V_{t\beta} y_t^2$$

$$c \sim (3 \times \bar{3}, 1, 1) = (3, 1, \bar{3}) \times (\bar{3}, 1, 3) \sim (3 \times \bar{3}, 1, 1)$$

The predictions have the Standard Model flavour structure:

$$\mathcal{A}(d^i \rightarrow d^j)_{MFV} = (V_{ti}^* V_{tj}) \mathcal{A}_{SM}^{(\Delta F=1)} \left( 1 + \frac{(4\pi)^2 y_t^2 M_W^2}{\Lambda_{NP}^2} \right)$$

Antonelli et al.

The strongest experimental bound sets  $\Lambda_{NP} \gtrsim \sqrt{\frac{4\pi y_t^2 M_W^2}{\Delta F=1}} \sim \sqrt{\frac{4\pi y_t^2 M_W^2}{\Delta F=1}}$

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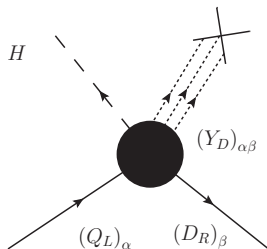
The strongest experimental bound sets  $\Lambda_{NP} \gtrsim \text{TeV} \sim \Lambda_{EW}$ .

What if we take seriously the flavour symmetry reasoning?



## The Dynamics Behind MFV

Suggests that the Yukawa couplings have a *dynamical origin*.



The Yukawa Interaction involves extra **fields** increasing the dimension of the 'Yukawa Operator'.

$$Y \sim \langle \Psi \rangle ?$$

$$Y = \langle \Psi^2 \rangle ?$$

$$Y = \langle \Psi^n \rangle ?$$

These fields acquire a v.e.v. and fix the Yukawa couplings.

# The Dynamics Behind MFV: Quarks

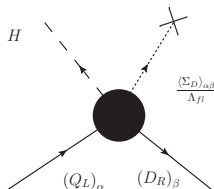
Different cases shorted by EFT & Group Theory

1. The Yukawas are the vev of 1 field

$$Y \sim \langle \Sigma \rangle$$

- ▶ Dim. 5  $\leftrightarrow$  Bifundamental Fields

$$\overline{Q}_L \frac{\Sigma_d}{\Lambda_f} D_R H \quad \Sigma_d \sim (3, \bar{3}, 1)$$

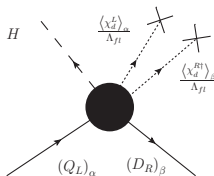


2. The Yukawas are 'composite'  $Y \sim \langle \chi\chi \rangle$

- ▶ Dim. 6  $\leftrightarrow$  Fundamental Fields

$$\overline{Q}_L \frac{\chi_d^L \chi_d^{R\dagger}}{\Lambda_f^2} D_R H \quad \chi_d^L \sim (3, 1, 1)$$

$$\chi_d^R \sim (1, 3, 1)$$



What is the potential giving rise to spontaneous symmetry breaking?

What are the differences in this potential for Quarks and Leptons?

Let's start with quarks and the fundamental field case for illustration

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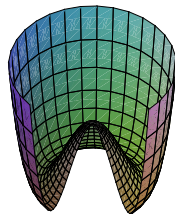
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## Fundamental Fields: Quarks

- ▶ First gather invariants

$$\begin{aligned} & \chi_u^{L\dagger} \chi_u^L, & \chi_u^{R\dagger} \chi_u^R, & \chi_d^{L\dagger} \chi_d^L, \\ & \chi_d^{R\dagger} \chi_d^R, & \chi_u^{L\dagger} \chi_d^L = \left| \chi_u^L \right| \left| \chi_d^L \right| \cos \theta_c. \end{aligned}$$



- ▶ Put the invariants together in the potential:

$$V' = \sum_{i=u,d} \lambda_i \left( \chi_i^{L\dagger} \chi_i^L - \frac{\mu_i^2}{2\lambda_i} \right)^2 + \lambda_{ud} \left( \chi_u^{L\dagger} \chi_d^L - \frac{\mu_{ud}^2}{2\lambda_{ud}} \right)^2 + \dots$$

$$\text{Minimum (2 gen.): } y_c^2 = \frac{\mu_u^2}{2\lambda_u \Lambda^2}, \quad y_s^2 = \frac{\mu_d^2}{2\lambda_d \Lambda^2}, \quad \cos \theta = \frac{\mu_{ud}^2 \sqrt{\lambda_u \lambda_d}}{\mu_u \mu_d \lambda_{ud}}$$

$\theta$  naturally  $O(1)$

## Fundamental Fields (are special)

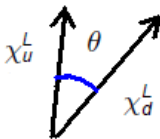
**Masses:** Let's take a careful look at the Yukawa structure:

$$Y_D = \frac{\langle \chi_d^L \chi_d^{R\dagger} \rangle}{\Lambda^2} \quad ; \quad Y_U = \frac{\langle \chi_u^L \chi_u^{R\dagger} \rangle}{\Lambda^2}$$

A 'matrix' made out of 2 '**vectors**'.

Such a construction has **rank 1**, that is  
**one nonvanishing eigenvalue only!**

**Mixing:**



Diagonalizing the Yukawa coupling =  
finding the **moduli**  $\sim$  **masses** +  
the **relative angle**  $\sim$  **mixing**

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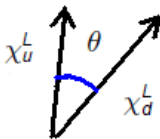
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## Bifundamental Fields

### Invariants

$$\langle \Sigma_u \rangle = \Lambda_f \cdot V_{CKM}^\dagger \text{Diag}\{y_{u_i}\}, \quad \langle \Sigma_d \rangle = \Lambda_f \cdot \text{Diag}\{y_{d_i}\};$$

$$\text{Tr} \left( \Sigma_u \Sigma_u^\dagger \Sigma_d \Sigma_d^\dagger \right) \propto \text{Tr} \left( V^\dagger y_U^2 V y_D^2 \right) \xrightarrow{2\text{gen}} (m_c^2 - m_u^2)(m_s^2 - m_d^2) \cos 2\theta$$

### The Potential:

$$V = -\mu_u^2 \text{Tr} \left( \Sigma_u \Sigma_u^\dagger \right) + \lambda_u \left( \text{Tr} \left( \Sigma_u \Sigma_u^\dagger \right) \right)^2 + \dots$$

We can directly minimize in the 'vevs'  $y_{d_i}, y_{u_i}, V_{CKM}$ . Outcome:

- ▶ For obtaining the actual masses **fine tuning** is needed mass hierarchy
- ▶ For fixing the mixing **huge** ( $10^{-8}$ ) **fine tuning** +  $\text{dim} \geq 8$

Operators

## Bifundamental Fields

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### Operators

What about Leptons?

## What about Leptons?

- ▶ Dirac Mass  $\nu$ 's  $\rightarrow$  All the previous analysis applies...
- ▶ Majorana Mass  $\nu$ 's
  - ▶ Weinberg operator

$$O_W = \frac{1}{M} \bar{\ell}_{L\alpha} \tilde{H} \lambda_{\alpha\beta} \tilde{H}^\dagger \ell_{L\beta}^c$$

$$\lambda \sim (3 \times 3, 1) \quad \text{under} \quad SU(3)_{\ell_L} SU(3)_{E_R}$$

- ▶ **Bifundamental**  
 $\lambda = \Sigma_\nu / \Lambda \sim U m_\nu U^T$   
 $\rightarrow$  Much like in the quark case  $\Sigma_\nu \Sigma_\nu^\dagger \sim \Sigma_u \Sigma_u^\dagger$
- ▶ **Fundamental**  
 $\lambda = \chi_\nu^2 / \Lambda^2 \sim U m_\nu U^T$   
But this forces a 1  $\nu$  mass only...

# Leptons

Lets go to a (predictive) Seesaw Model

$$\mathcal{L} = \mathcal{L}_{SM} + \bar{L}_L H Y_E E_R + \bar{L}_L \tilde{H} Y N + \bar{L}_L Y' \tilde{H} N' + M \bar{N}' N^c + h.c.,$$

$$m_\nu = \frac{v^2}{M} (Y Y'^T + Y' Y^T) \quad |Y'| \ll |Y| \quad \text{approx LN}$$

$$\text{N.H.} \quad Y = \frac{y}{\sqrt{m_{\nu_2} + m_{\nu_3}}} U_{PMNS} \begin{pmatrix} 0 \\ -i\sqrt{m_{\nu_2}} \\ \sqrt{m_{\nu_3}} \end{pmatrix}$$

Gavela, Hambye, P. Hernández, D. Hernández

The symmetry for vanishing Yukawa couplings is:  $SU(3)_{\ell_L} \times SU(3)_{E_R} \times U_{LN}$

The straightforward assignments:

$$Y_E = \frac{\langle \Sigma_E \rangle}{\Lambda} \sim (3, \bar{3})_0; \quad Y = \frac{\langle \chi_N \rangle}{\Lambda} \sim (3, 1)_0; \quad Y' = \frac{\langle \chi'_N \rangle}{\Lambda} \sim (3, 1)_2.$$

(1)

## Leptons

The invariants containing the angle (2 family case & ( $|Y'| \ll |Y|$ )):

$$\chi_N^\dagger \Sigma_E \Sigma_E^\dagger \chi_N \propto (m_\mu^2 - m_e^2) ((m_{\nu_2} - m_{\nu_1}) \cos 2\theta + 2\sqrt{m_{\nu_2} m_{\nu_1}} \sin 2\alpha \sin 2\theta),$$

$[\chi_N^\dagger \Sigma_E \Sigma_E^\dagger \chi_N] = 4 \rightarrow$  Renormalizable level:  $\partial_\theta V = 0$  yields:

$$-(m_{\nu_2} - m_{\nu_1}) \sin 2\theta + 2\sqrt{m_{\nu_2} m_{\nu_1}} \sin 2\alpha \cos 2\theta = 0 \rightarrow \operatorname{tg} 2\theta = \sin 2\alpha \frac{2\sqrt{m_{\nu_2} m_{\nu_1}}}{m_{\nu_2} - m_{\nu_1}}.$$

## Quarks

Let's bring back the quark invariant (Bifundamental):

$$\operatorname{Tr} \left( \Sigma_u \Sigma_u^\dagger \Sigma_d \Sigma_d^\dagger \right) \propto (m_c^2 - m_u^2)(m_s^2 - m_d^2) \cos 2\theta$$

which yields

$$(m_c^2 - m_u^2)(m_s^2 - m_d^2) \sin 2\theta = 0 \rightarrow \sin 2\theta = 0$$

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## Summary

The study of a SSB mechanism for flavour lead to

1. The flavour symmetry is restrictive, making the fixing of flavour parameters far from trivial.
2. The **Fundamental** case for **Quarks** gives a 'reason' for the **strong hierarchical mass** spectrum and accommodates mixing
3. The **Lepton** sector, when the **Seesaw mechanism** generates **majorana** masses, offers an interesting **mass degeneracy**  $\Leftrightarrow$  **maximal angles** connection